

COST-EFFECTIVE PRODUCTION OF BIOLOGICAL MATERIALS FOR  
FOOD APPLICATIONS

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By

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August, 2012

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## **ABSTRACT**

### **COST-EFFECTIVE PRODUCTION OF BIOLOGICAL MATERIALS FOR FOOD APPLICATIONS**

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This thesis consists of two chapters; in the first chapter response surface optimization of the production of a potential probiotic strain was studied by using bioreactors and in the second chapter screening of biosurfactant producing microorganisms was carried out followed by the purification and characterization of the biosurfactant produced.

Probiotics are live microorganisms that when administered in adequate amounts are favorable to their host. They are used on livestock to enhance the growth of animals, improve the efficiency of feed conversion and to decrease mortality rate. Therefore, it is important to produce these microorganisms in high amounts. However, process economics is a problem in large scale production of the microorganisms. Main factors that affect the process economics are the growth medium of the organism and the process conditions. Therefore, optimizing the composition of the growth media and cultivation conditions are of crucial importance in large scale production. In this study, optimization of

growth media composition and cultivation conditions of a novel probiotic strain, *Bacillus pumilus* STF26, was done. Factors optimized were temperature, pH and the concentrations of dextrose as carbon source, yeast extract as nitrogen source,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ . Response surface methodology was used to optimize the parameter and the optimum values are found to be 30.9 °C, 6.9, 20 % (w/v), 1.526 % (w/v), 0.1 % (w/v) and 0.5 % (w/v) for temperature, pH and the concentrations of dextrose, yeast extract,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , respectively. Maximum biomass at optimum conditions was 10.42 g/L which is nearly 2.5 times higher when compared to the one obtained by using LB medium at optimized temperature and pH values.

In the second chapter, production and characterization of a biosurfactant produced by a novel strain of *Staphylococcus xylosus*, STF1, was studied.

Biosurfactants are surface active agents that have a broad range of applications in different industries and they have several advantages over their chemically synthesized counterparts. However, they cannot compete economically with synthetic surfactants due to their high production cost, the difficulties in downstream processing and the lack of overproducing strains. In this study a novel strain that produces biosurfactant, STF1, was isolated and the biosurfactant was characterized by using mass spectrometry and Fourier transform infrared (FTIR) spectroscopy. FTIR results indicated the lipopeptide nature of the biosurfactant produced by this strain. Moreover, the mass of the purified biosurfactant was 931.9550 (m/z).

*Keywords:* probiotics, optimization, Response surface methodology, *Bacillus pumilus*, biosurfactants, lipopeptides, *Staphylococcus xylosus*

## ÖZET

### GIDA UYGULAMALARI İÇİN UYGUN MALİYETLİ BİYOLOJİK MALZEME ÜRETİMİ

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Bu tez çalışması iki bölümden oluşmaktadır; ilk bölümde biyoreaktörler kullanılarak yeni bir probiyotik bakteri suşu üretiminin tepki yüzey optimizasyonu çalışılmış ve ikinci bölümde de biyosüpfaktan üreten mikroorganizma taraması yapılmış, daha sonra da bu biyosüpfaktanın saflaştırılması ve karakterizasyonu çalışılmıştır.

Probiyotikler, gerekli miktarda uygulandığında konaklarına yararlı olan canlı mikroorganizmalardır. Bu mikroorganizmalar çiftlik hayvanlarında büyümeyi artırmak, yemin verime dönüşümünü artırmak ve ölüm oranını azaltmak amacıyla kullanılmaktadır. Bu nedenle, bu mikroorganizmaların yüksek miktarda üretimi önemlidir; fakat bu aşamada üretim maliyetinin yüksek olması bir sorun olmaktadır. Üretim maliyetine etki eden başlıca faktörler mikroorganizmaların büyümesi için gerekli olan besi yeri ve üretim koşullarıdır. Bu nedenle yüksek miktarda üretim yaparken, kullanılan besi yerinin içeriğinin ve üretim koşullarının optimizasyonun yapılması çok önemlidir. Bu çalışmada, yeni bir *Bacillus pumilus* probiyotik suşu olan STF26 mikroorganizmasının besi yeri içeriğinin ve büyüme koşullarının optimizasyonu yapılmıştır.

Optimizasyonu yapılan faktörler; sıcaklık, pH ve karbon kaynağı olarak kullanılan dekstroz, azot kaynağı olarak kullanılan maya özütü,  $\text{KH}_2\text{PO}_4$  ve  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  konsantrasyonlarıdır. Bu faktörlerin optimizasyonun yapılmasında tepki yüzey yöntemi kullanılmış ve optimum değerler sırasıyla sıcaklık, pH ve dekstroz, maya özütü,  $\text{KH}_2\text{PO}_4$  ve  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  konsantrasyonları için 30.9 °C, 6.9, 20 % (ağırlık/hacim), 1.526 % (ağırlık/hacim), 0.1 % (ağırlık/hacim) and 0.5 % (ağırlık/hacim) olarak bulunmuştur. Optimum koşullar kullanıldığında elde edilen en yüksek biyokütle 10.42 g/L olarak bulunmuştur ve bu değer optimum sıcaklık ve pH kullanılıp besi yeri olarak LB kullanıldığında elde edilen değerin yaklaşık 2.5 katıdır.

Tez çalışmasının ikinci bölümünde ise yeni bir *Staphylococcus xylosus* suşu olan STF1 tarafından üretilen biyosüpfaktanın saflaştırılması ve karakterizasyonu çalışılmıştır.

Biyosüpfaktanlar yüzey aktif malzemeler olup farklı endüstrilerde geniş bir kullanım alanına sahiptirler. Ayrıca biyosüpfaktanların kimyasal yöntemlerle sentezlenmiş benzerlerine göre pek çok avantajları vardır. Ancak üretimlerinin yüksek maliyetli, saflaştırma işlemlerinin zor ve yüksek miktarda biyosüpfaktan üreten suşların kısıtlı olması nedenleriyle biyolojik süpfaktanlar ekonomik olarak kimyasal süpfaktanlarla yarışmamaktadırlar. Bu çalışmada biyosüpfaktan üreten yeni bir bakteri suşu olan STF1 ile çalışılmış ve üretilen bu biyosüpfaktanın kütle spektrometresi ve FTIR kullanılarak karakterizasyonu yapılmıştır. Sonuçlara göre elde edilen biyosüpfaktan bir lipopeptit yapısındadır ve kütlesi 931.9550 (kütle/yük) olarak bulunmuştur.

*Anahtar Kelimeler:* probiyotikler, optimizasyon, tepki yüzey metodu, *Bacillus pumilus*, biyosümfaktanlar, lipopeptitler, *Staphylococcus xylosus*



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## **CHAPTER 1**

### **Response Surface Optimization of the Cultivation Conditions and the Composition of Growth Medium of a Novel Potential Probiotic Strain *Bacillus pumilus* STF26**

#### **1.1. INTRODUCTION**

##### **1.1.1. Probiotics**

The widespread and intense use of antibiotics for therapeutic purposes has led to a considerable increase in the number of antibiotic-resistant bacteria, resulting in occurrence of serious and hard-to-treat infections in both humans and livestock (4, 5, 10). Therefore, there has been an increasing concern about the use of antibiotics and they are not permitted to be used as feed additives in livestock (1, 38, 48). European Parliament and the Council of the European Union encourage the development of alternative products to replace antibiotics as feed supplements for growth promotion (1, 48). Thus, researchers and feed companies have started a search for alternative products to prevent and control infectious diseases (10, 48). An effective and safe alternative to antibiotic implementation is the use of probiotics which protect the animal from pathogens by improving the microbial balance in the gastrointestinal tract to exclude potentially harmful bacteria (10, 31, 38, 48).

Probiotics are live microorganisms which when administered in adequate amounts are favorable to their host (11, 27). They influence the health of host

organisms by preventing the growth of pathogenic microorganisms, improving the intestinal microbial balance thereby leading to improved nutritional absorption, promoting digestion and feed intake and inducing the immune system (16, 23, 27). Therefore, the use of probiotics on livestock enhances the growth of animals, improves efficiency of feed conversion and decreases the rate of mortality (1, 4).

Ideal probiotic microorganisms should possess some characteristics. They should be non-pathogenic and non-toxic, should improve growth of the host animal, and should be stable and active during processing and storage. In addition, probiotic microorganisms should be able to survive and continue their metabolic activities in gastrointestinal conditions and they should produce compounds that inhibit the growth of pathogenic microorganisms (23, 38).

Bacteria from different genera are currently used as probiotics, including *Bacillus*, *Bifidobacterium*, *Enterococcus*, *Escherichia*, *Lactobacillus*, *Lactococcus* and *Streptococcus* species (38). Moreover, some yeast species such as *S. cerevisiae* are used as probiotics (27).

The most common probiotic species used in humans are *Lactobacillus* and *Bifidobacterium* species, while *Bacillus*, *Enterococcus*, and *Saccharomyces* species are mostly used in livestock (38). Among those, *Bacillus* species are more preferable because they are spore-formers, have extreme resistances to heat, chemicals and other stresses (9, 10, 36, 50), *Bacillus* spores can survive in harsh pH conditions of the gastric fluids (11) and reach the small intestine, making them better suitable for use as feed supplements. In addition, they can be kept for a long time in desiccated form without any loss of viability (16, 30).

Among the genus *Bacillus* the most widely researched and used species with respect to potential probiotics for animals are *B. subtilis*, *B. clausii*, *B. cereus*, *B. coagulans* and *B. licheniformis* (11). A number of *Bacillus* probiotics are commercially available on the market such as BioPlus 2B<sup>®</sup> and Toyocerin<sup>®</sup> (21) (Table 1); however, there is always a need for effective and novel probiotic strains with high antimicrobial activity.

**Table 1.** Commercial *Bacillus* probiotic products\*

Product	Target	Microorganism
BioGrow <sup>®</sup>	Poultry, calves and swine	<i>B. licheniformis</i> and <i>B. subtilis</i>
BioPlus 2B <sup>®</sup>	Piglets, chickens and turkeys for fattening	<i>B. licheniformis</i> and <i>B. subtilis</i>
Esporafeed Plus <sup>®</sup>	Swine	<i>B. cereus</i>
Paciflor <sup>®</sup> C10	Calves, poultry, rabbits and swine	<i>B. cereus</i> CIP5832 (ATCC 14893)
Toyocerin <sup>®</sup>	Calves, poultry, rabbits and swine. Possible use also for aquaculture	<i>B. cereus</i> var <i>toyoi</i> (NCIMB-40112/CNCM-1012)

\*Adapted from Hong et al. (2005) (21)

In this study, a *B. pumilus* strain isolated from bovine chyme, STF26, was used. STF26 has high antimicrobial activity besides its other probiotic characteristics and therefore is a good candidate of probiotics to be used in animal feed supplements.

### **1.1.2. Experimental Design and Optimization by Response Surface Methodology**

In many types of experiments, the common objective is to determine the relationship between a response and a set of factors of interest to the researcher. This goal is accomplished by constructing a model that describes the response over the applicable ranges of the factors affecting to the response (29).

Response surface methodology (RSM) is a group of mathematical and statistical techniques which is used to build an empirical model relating a response and the factors that affect it (7, 13, 32). The ultimate goal of the RSM is to optimize the operating conditions of a system or to determine the region where operating conditions are satisfied (32).

When statistical techniques are not used in the design of experiments, the test results are often inconclusive or misleading (29). Some of the potential problems that might occur when statistical considerations are not incorporated with the design of experiments are listed in Mason et al. (1989) (29):

- masking of factor effects due to experimental variations,
- misleading in the experimental conclusions due to the uncontrolled factors,

- wasteful or inconclusive results due to false principles of efficiency, and
- insufficiency in achievement to scientific objectives with one-factor-at-a-time designs.

In many industrial applications the fitted model is referred to as a *response surface*. A response surface is the geometric representation of a response as a function of factors affecting it (29). According to Mason et al. (1989) (29), designing experiments in order to study or fit response surfaces is important for several reasons, including the following:

- The response function is defined in a region that the experimenter is interested in,
- sensitivity of the response to the factors of interest could be determined by using statistical analysis,
- factor levels could be determined for optimum response (maximum or minimum), and
- factor levels could be determined for simultaneously optimizing several responses.

There are several different types of designs to fit a response surface. Complete and fractional factorial designs are extremely useful to determine the location of the optimum response (29). However, all factorial designs do not have the property of being rotatable.  $2^k$  complete factorial designs are all rotatable, but  $3^k$  factorials are not. In order a design to be rotatable, the design points should construct a regular geometric figure such as a cube. Rotatable designs have the property of equal precision regardless of distance, which means that the standard

deviation of the fitted value is the same for any distance from the center of the design. This is a desirable property since it is not usually known which direction from the center point will be of the later interest. Rotatable designs make the fitted values precise without being affected by the direction, only by the distance from the center point (35). Fortunately, there are classes of designs to be used as alternatives to  $3^k$  factorial designs which do not have the property of being rotatable.

Two special classes of designs serving as alternatives to  $3^k$  factorial designs are central composite designs and Box-Behnken designs. Both of these designs are fractions of the  $3^k$  factorials, but they can be made rotatable and they make more efficient use of the experimental runs than  $3^k$  factorials. Efficiency is achieved by reducing the number of factor-level combinations from the one required using complete or fractional factorial experiments.

In this study, Box-Behnken experimental design was used to determine experimental runs.

#### **1.1.2.1. Box-Behnken Designs**

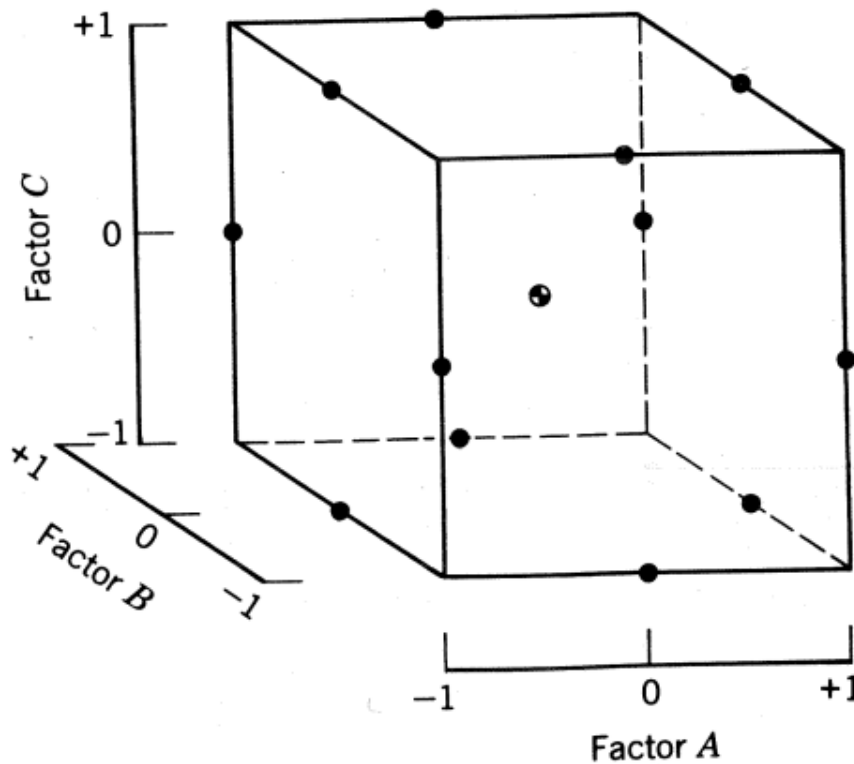
The Box-Behnken design is an alternative to the  $3^k$  factorials and since it is the composition of  $2^k$  factorials with incomplete block designs, this design makes efficient use of the experimental units. Moreover, Box-Behnken designs are rotatable which makes the fitted values precise without being affected by the direction (29, 35). Useful Box-Behnken designs are listed in Table 2.

**Table 2.** Useful Box-Behnken Designs\*

No. of Factors	Coded Factor Levels					No. of Points
	1	2	3	4	5	
3	$\pm 1$	$\pm 1$	0			4
	$\pm 1$	0	$\pm 1$			4
	0	$\pm 1$	$\pm 1$			4
	0	0	0			3
						15
4	$\pm 1$	$\pm 1$	0	0		4
	$\pm 1$	0	$\pm 1$	0		4
	$\pm 1$	0	0	$\pm 1$		4
	0	$\pm 1$	$\pm 1$	0		4
	0	$\pm 1$	0	$\pm 1$		4
	0	0	$\pm 1$	$\pm 1$		4
	0	0	0	0		3
						27
5	$\pm 1$	$\pm 1$	0	0	0	4
	$\pm 1$	0	$\pm 1$	0	0	4
	$\pm 1$	0	0	$\pm 1$	0	4
	$\pm 1$	0	0	0	$\pm 1$	4
	0	$\pm 1$	$\pm 1$	0	0	4
	0	$\pm 1$	0	$\pm 1$	0	4
	0	$\pm 1$	0	0	$\pm 1$	4
	0	0	$\pm 1$	$\pm 1$	0	4
	0	0	$\pm 1$	0	$\pm 1$	4
	0	0	0	$\pm 1$	$\pm 1$	4
	0	0	0	0	0	6
						46

\*Adapted from Mason et al. (1989) (29)

The schematic illustration of the three-factor Box-Behnken design is shown in Figure 1. Box-Behnken designs are more preferable to the face-centered central composite designs since they require fewer experimental runs and they are rotatable. The total number of experimental runs required for this design is 15, while this number is 17 for a central composite design with the same number of repeats at the center of the design and 27 for a  $3^3$  factorial design without repeats (29).



**Figure 1.** Three factor Box-Behnken design with coded units

As shown in Figure 1, the design points of a Box-Behnken design are either on a sphere or at the center of the sphere not on the extremes of the cubic region. This property of the design makes it more advantageous when the points on one



or more corners of the cube are expensive or impossible to test due to physical restrictions on the experimentation (29).

### **1.1.3. Aim of the Present Study**

Our earlier studies suggest that STF26, a strain of *Bacillus pumilus* isolated from bovine chyme, is a potential probiotic strain with high antimicrobial activity. This isolate could be used in animal feed supplements to improve the health of animals. Therefore, it is important to produce the biomass of this bacterium in high amounts.

The main concern in scaling up is the process economics. Growth media and process conditions are of crucial importance in microbial production since they considerably affect overall process economics. Therefore, optimizing the composition of the growth media and cultivation conditions has gained increasing attention.

Response surface methodology (RSM) is widely used in optimization of media composition and process parameters for microorganism growth (19, 39, 46). It is based on fitting a polynomial equation to the experimental data and is an effective method to analyze the responses affected by many factors and their interactions (14, 19). It accurately describes the overall process by generating the mathematical method (20). Moreover, RSM is more advantageous than the conventional one-factor-at-a-time method, since it is less time-consuming and it also analyzes the interactive affects among the variables tested (19, 20, 41, 42, 46).

In this study, RSM technique was used to maximize the biomass of STF26 and the factors optimized were concentrations of nitrogen source,  $\text{KH}_2\text{PO}_4$ ,  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , carbon source, pH and temperature.

## **1.2. MATERIALS AND METHODS**

### **1.2.1. Microorganism**

A potential probiotic microorganism, STF26, was used in this study. STF26 is a strain of *Bacillus pumilus* which was isolated from bovine chyme and it has high antimicrobial activity against a number of bacteria including *S. enterica*, *K. pneumoniae*, *P. aeruginosa* and *S. aureus*.

The strain was streaked on LB agar and stored at 4 °C to maintain viability. The plates were renewed monthly. For long term storage, the microorganism was maintained at -80 °C in 30% (v/v) glycerol. When fresh samples are required, stock culture was sub-cultured into 50-mL Erlenmeyer flasks containing 10 mL LB broth and incubated overnight at 37 °C, 125 rpm.

For bioreactor studies, 200 µL of fresh sample was inoculated into 100-mL Erlenmeyer flask containing 20 mL LB broth and incubated overnight at 37 °C, 125 rpm. Then this culture was transferred into 2-L growth medium in 5-L bioreactor (Sartorius Stedim Biotech.).

### **1.2.2. Medium Composition and Cultivation Conditions**

The cultivation medium used in this study consisted of dextrose (Roquette Frères), yeast extract (Sigma-Aldrich),  $\text{KH}_2\text{PO}_4$  (Sigma-Aldrich) and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  (Sigma-Aldrich).

In the first optimization, concentration of dextrose was varied according to the experimental design (Table 3). The amount of yeast extract,  $\text{KH}_2\text{PO}_4$  and

MgSO<sub>4</sub>·7H<sub>2</sub>O were constant for the first optimization as 20 g/L, 2 g/L and 1 g/L respectively. pH and temperature of the process were also varied according to the requirement of each experimental run (Table 3). pH was measured by using a pH electrode (Hamilton) and adjusted by adding 4 N NaOH and 1N HCl solutions by using peristaltic pumps.

**Table 3.** Experimental design for biomass production of STF26\*

Trial No.	1st optimization			2nd optimization		
	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
1	25 (-1)	5 (-1)	12.5 (0)	0.2 (-1)	0.1 (-1)	0.26 (0)
2	40 (+1)	6.5 (0)	20 (+1)	2 (+1)	0.1 (-1)	0.26 (0)
3	40 (+1)	8 (+1)	12.5 (0)	0.2 (-1)	0.5 (+1)	0.26 (0)
4	25 (-1)	6.5 (0)	5 (-1)	2 (+1)	0.5 (+1)	0.26 (0)
5	32.5 (0)	5 (-1)	5 (-1)	0.2 (-1)	0.3 (0)	0.02 (-1)
6	25 (-1)	6.5 (0)	20 (+1)	2 (+1)	0.3 (0)	0.02 (-1)
7	32.5 (0)	5 (-1)	20 (+1)	0.2 (-1)	0.3 (0)	0.5 (+1)
8	32.5 (0)	8 (+1)	5 (-1)	2 (+1)	0.3 (0)	0.5 (+1)
9	40 (+1)	6.5 (0)	5 (-1)	1.1 (0)	0.1 (-1)	0.02 (-1)
10	32.5 (0)	6.5 (0)	12.5 (0)	1.1 (0)	0.5 (+1)	0.02 (-1)
11	25 (-1)	8 (+1)	12.5 (0)	1.1 (0)	0.1 (-1)	0.5 (+1)
12	32.5 (0)	6.5 (0)	12.5 (0)	1.1 (0)	0.5 (+1)	0.5 (+1)
13	40 (+1)	5 (-1)	12.5 (0)	1.1 (0)	0.3 (0)	0.26 (0)
14	32.5 (0)	8 (+1)	20 (+1)	1.1 (0)	0.3 (0)	0.26 (0)
15	32.5 (0)	6.5 (0)	12.5 (0)	1.1 (0)	0.3 (0)	0.26 (0)

\*  $x_1$  is temperature ( $^{\circ}\text{C}$ ),  $x_2$  is pH,  $x_3$  is dextrose concentration (% w/v),  $x_4$  is yeast extract concentration (% w/v),  $x_5$  is  $\text{KH}_2\text{PO}_4$  concentration (% w/v) and  $x_6$  is  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration (% w/v).

In the second optimization, concentration of dextrose was determined constant at the optimum value obtained from the first optimization while the concentrations of yeast extract,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  were varied according to the experimental design (Table 3). pH and temperature of the process were also set to the optimum values obtained from the first optimization.

For both the first and second optimizations, agitation speed was adjusted to 200 rpm throughout the experiments. Aeration was performed by using sterile air and the flow rate was set at 2 vvm by using a rotameter (Q-flow, Vögtlin Instruments). Dissolved oxygen concentration (DO) was first adjusted to 100% saturation before inoculation and then cascaded to  $\text{O}_2$  enrichment to prevent the drop of DO to value less than 50% saturation. DO was measured by using a dissolved oxygen sensor (Hamilton). A silicone-based antifoam agent (Antifoam A concentrate, Sigma-Aldrich) was used to prevent foaming during the process.

The experiments were carried out in 5-L bioreactors (Sartorius Stedim Biotech.) containing 2-L volume of medium. Fresh cultures were inoculated into the cultivation medium with an inoculum size of 1% (v/v). During the biomass production, approximately 12 mL of samples were collected from the medium at time intervals for analysis.

### **1.2.3. Experimental Design and Optimization by Response Surface Methodology**

In literature it is found out that for the growth of *Bacillus pumilus* microorganism, growth media should contain some essential nutrients and salts.

Among the salts  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  are commonly used in growth medium (17, 18, 22, 26, 41, 42, 44, 45). Moreover, studies show that carbon and nitrogen concentrations, pH and temperature together with the salts have significant effects on the growth of microorganisms (8, 12, 19, 20, 25, 39, 45, 46, 49). Therefore, in this study the aim is to maximize the biomass by optimizing concentrations of  $\text{KH}_2\text{PO}_4$ ,  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , glucose and nitrogen sources, pH and temperature.

Box-Behnken response surface method was used in the optimization of key factors to maximize the growth of the probiotic strain. The advantage of this method is the reduced number of experiments with reduced replicates (53). Minitab (Version 16; Inova ltd. Co.) statistical software was used to design the conditions for biomass production by giving the minimum and maximum values of determined factors (Table 4).

**Table 4.** Box- Behnken response surface method design parameters

Variable	Minimum	Maximum
<u>First Optimization</u>		
Temperature (°C)	25	40
pH	5	8
Carbon%	5	20
<u>Second Optimization</u>		
Nitrogen%	0.2	2
KH <sub>2</sub> PO <sub>4</sub> %	0.1	0.5
MgSO <sub>4</sub> .7H <sub>2</sub> O%	0.02	0.5

In first optimization, fifteen experiments were generated for three factors namely, temperature, pH and concentration of the carbon source. Fifteen more runs were generated for the second optimization for the concentrations of nitrogen source, KH<sub>2</sub>PO<sub>4</sub> and MgSO<sub>4</sub>.7H<sub>2</sub>O. The variables for two optimizations and the coded and uncoded values of the variables are given in Table 3.

The test variables were coded according to the following regression equation:

$$x_i = \left( \frac{X_i - X_0}{\Delta X_i} \right) \quad (1)$$

,where  $x_i$  is the coded value,  $X_i$  is the actual value of the independent variable,  $X_0$  is the actual value at the center point, and  $\Delta X_i$  is the step change value.



In our regression models for both of the optimizations, the response was the biomass (g/L) and the  $\alpha$ -level at which every term in the selected model should be significant was set as 5%. Full quadratic models, used to fit the response in Box-Behnken design, were expressed as follows:

$$Y = \beta_0 + \sum \beta_i x_i + \sum \beta_{ii} x_i^2 + \sum \beta_{ij} x_i x_j \quad (2)$$

where  $Y$  is the predicted response,  $\beta_0$  is the constant,  $\beta_i$  is the coefficient for the linear effect,  $\beta_{ii}$  is the coefficient for the quadratic effect and  $\beta_{ij}$  is the coefficient for the interaction effect.

The Minitab (Version 16; Inova ltd. Co.) statistical software was used for the regression analysis of the experimental results and to determine the coefficients of the model equations. The quality of the fit of the regression model equations was given by the coefficients of determination ( $R^2$ ). The quadratic model equation was maximized by using the same software to determine the optimum levels of the variables for maximum biomass (g/L).

Moreover, response surface and contour plots were constructed to describe the individual and cumulative effects of the significant variables and their interactions on the response (biomass).

#### **1.2.4. Experimental Validation of the Optimized Conditions**

In order to verify the validity of the model, experiments were conducted in 5-L bioreactors and parameters were set at the optimum conditions. For the validation of the model constructed after first optimization, the parameters

namely temperature, pH and concentration of carbon source (dextrose) were set at optimum levels found after statistical analyses. Likewise, in order to confirm the validity of the model generated after second optimization, concentrations of nitrogen source (yeast extract),  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  were set at optimum values. Biomass obtained after these experiments was compared with the one estimated by using the model equations.

### **1.2.5. Analysis**

Approximately 12 mL of samples were withdrawn from the bioreactors every 2 h during the cultivation period (30 h). These samples were analyzed for optical density and cell dry weight to determine biomass, and residual sugar.

#### **1.2.5.1. Biomass**

The optical density of cells was measured at 620 nm by using a spectrometer Gnesys 10 Bio (thermo Scientific). Uninoculated cultivation medium was used as blank in the spectrometric analysis (53). During measurements, samples were diluted to an extent that the optical density values do not exceed 0.6 (49).

For cell dry weight determination, 10 ml of samples were centrifuged in pre-weighed falcon tubes and pellets were left drying at 37 °C to constant weight. A calibration curve was also constructed to relate OD620 values and cell dry weight (41, 53).

#### **1.2.5.2. Residual Sugar**

Residual sugar content of the cultivation medium was determined by using 3,5-dinitrosalicylic acid (DNS) method (8, 41, 53). Briefly, 0.1 ml of each sample was mixed with 3.9 ml of distilled water and 0.08 ml of HCl in a glass tube for hydrolysis of sugars. The solution was mixed and then heated in a water bath at 90 °C. After neutralization with 0.2 ml of 5 N KOH, 3 ml of solution was transferred into a clean test tube. Then 3 ml of DNSA solution (10 g/L dinitrosalicylic acid, 0.5 g/L sodium sulfite and 10 g/L sodium hydroxide) was added to the solution. 3 ml of distilled water was also mixed with 3-ml DNSA solution to be used as blank in the spectrophotometric measurements. The solution was mixed well and heated in a water bath at 90 °C for 10 min. A color change was observed during heat treatment based on the sugar concentration and in order to stabilize the color in the solution, 1 ml of 40% potassium-sodium tartrate solution was added to each tube. The test tubes were mixed and cooled to room temperature in a water bath. Absorbance measurements were done at 575 nm and recorded.

A standard curve was also constructed for each experimental run by using uninoculated cultivation medium. The medium was serially diluted and the same procedure of DNS method was performed.

### **1.3. RESULTS AND DISCUSSION**

Two experimental designs were constructed by using Box-Behnken response surface method to investigate the effects of temperature, pH, carbon source concentration, nitrogen source concentration,  $\text{KH}_2\text{PO}_4$  concentration and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration together with the effects of their interactions on biomass production.

#### **1.3.1. Optimization of Temperature, pH and Carbon Source Concentration by Response Surface Methodology**

In order to enhance biomass production of STF26, firstly three variables namely temperature, pH and carbon concentration were optimized by using response surface methodology. Temperature in the range of 25 °C to 40 °C, pH from 5.0 to 8.0, and dextrose concentration from 5.0 % to 20.0 % (w/v) were analyzed. Table 5 shows the coded and uncoded values of the variables tested and the experimental values of the response.

**Table 5.** Box-Behnken design matrix of the first optimization with three variables in coded and uncoded units and with the response, biomass\*

Trial No.	1 <sup>st</sup> optimization			Biomass (g/L)
	$x_1$	$x_2$	$x_3$	
1	25 (-1)	5 (-1)	12.5 (0)	2.92
2	40 (+1)	6.5 (0)	20 (+1)	4.61
3	40 (+1)	8 (+1)	12.5 (0)	2.27
4	25 (-1)	6.5 (0)	5 (-1)	6.82
5	32.5 (0)	5 (-1)	5 (-1)	4.26
6	25 (-1)	6.5 (0)	20 (+1)	7.44
7	32.5 (0)	5 (-1)	20 (+1)	5.33
8	32.5 (0)	8 (+1)	5 (-1)	8.20
9	40 (+1)	6.5 (0)	5 (-1)	2.10
10	32.5 (0)	6.5 (0)	12.5 (0)	7.03
11	25 (-1)	8 (+1)	12.5 (0)	5.48
12	32.5 (0)	6.5 (0)	12.5 (0)	7.20
13	40 (+1)	5 (-1)	12.5 (0)	1.78
14	32.5 (0)	8 (+1)	20 (+1)	7.59
15	32.5 (0)	6.5 (0)	12.5 (0)	7.45

\*  $x_1$  is temperature (°C),  $x_2$  is pH, and  $x_3$  is dextrose concentration (% w/v).

A full quadratic response surface model was constructed by using Minitab with coded units, and the following equation relating the biomass and the test variables was obtained:

$$Y (\text{biomass}) = 7.2267 - 1.4875x_1 + 1.1562x_2 + 0.4487x_3 - 2.6083x_1^2 - 1.5058x_2^2 + 0.6242x_3^2 - 0.5175x_1x_2 + 0.4725x_1x_3 - 0.4200x_2x_3 \quad (3)$$

where  $Y$  is the response value which is biomass,  $x_1$ ,  $x_2$  and  $x_3$  are coded values of the factors tested which are temperature, pH and dextrose concentration respectively.

Coefficient of determination ( $R^2$ ) was used to test the goodness of fit of the equation. The value of  $R^2$  was 0.95 which shows that the model explains 95% of the sample variations. The adjusted coefficient of determination ( $R^2$  (adj)) was 0.86 and confirms the  $R^2$  value in terms of the sample size and the number of terms in the model. The adjusted  $R^2$  value would be considerably smaller than the  $R^2$  value if the number of terms in the model is high while the sample is not very large (41).

The significance of the coefficients in the model was determined by  $p$  values Table 6. Smaller magnitude of  $p$  values indicates higher significance of the corresponding coefficient (46, 49). According to the present model, temperature, pH and quadratic effects of them were significant for biomass production.

In spite of the fact that only the coefficients of temperature, pH and their quadratic effects were significant, statistical analysis revealed that the model including all the coefficients was very reliable with an  $R^2$  value of 0.95. Therefore, none of the terms was excluded from Equation 3. Moreover, the lack

of fit was not significant and therefore the fitted model was appropriate for describing of the response surface.

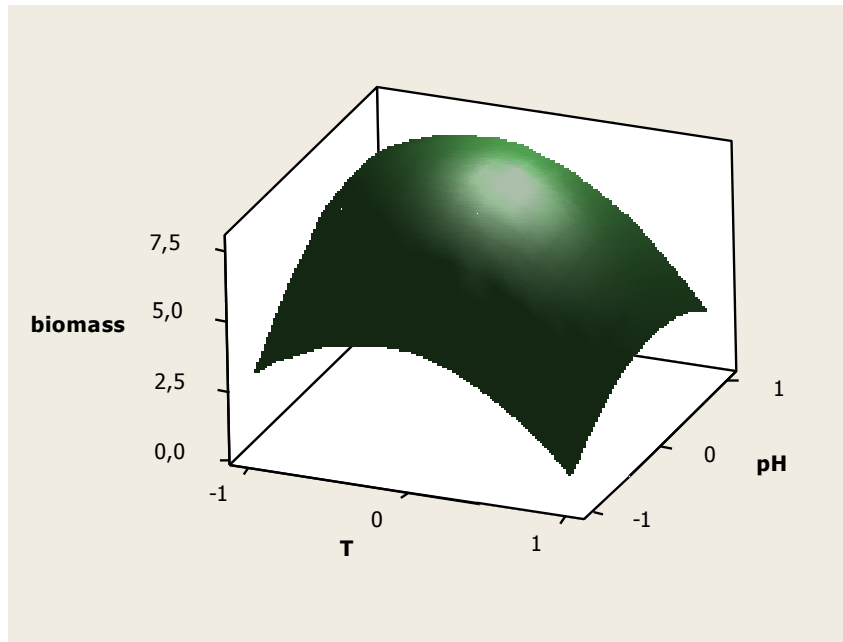
**Table 6.** Response surface regression results for first optimization\*\*

Term	Coefficient	Standard error of coefficient	t value	p value
Constant	7.2267	0.4831	14.959	0.000
$x_1$	-1.4875	0.2958	-5.028	0.004*
$x_2$	1.1562	0.2958	3.908	0.011*
$x_3$	0.4487	0.2958	1.517	0.190
$x_1 \cdot x_1$	-2.6083	0.4355	-5.990	0.002*
$x_2 \cdot x_2$	-1.5058	0.4355	-3.458	0.018*
$x_3 \cdot x_3$	0.6242	0.4355	1.433	0.211
$x_1 \cdot x_2$	-0.5175	0.4184	-1.237	0.271
$x_1 \cdot x_3$	0.4725	0.4184	1.129	0.310
$x_2 \cdot x_3$	-0.4200	0.4184	-1.004	0.362
$R^2 = 95.05 \%$ , $R^2$ (adj) = 86.15 %, $p$ (lack of fit) = 0.38				

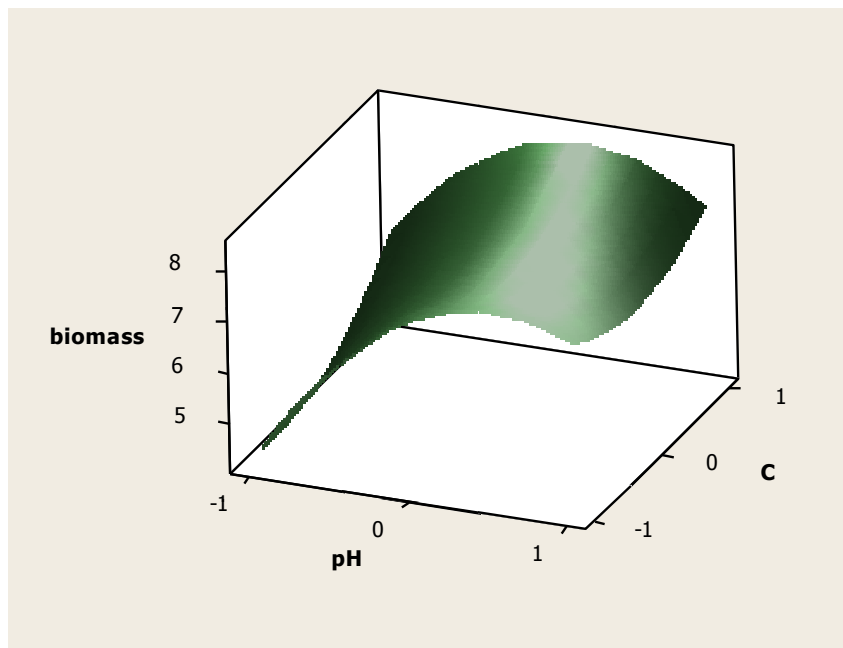
\*  $p < 0.05$  is significant.

\*\*  $x_1$ ,  $x_2$ , and  $x_3$  represents temperature (°C), pH, and dextrose concentration (% w/v) respectively.

The graphical representations of the model were generated as contour and surface plots by using Minitab software and shown in Figure 2 and Figure 3. Response surface and contour plots show the effects of interactions between two of the variables on biomass with the other variable held constant at its zero level.

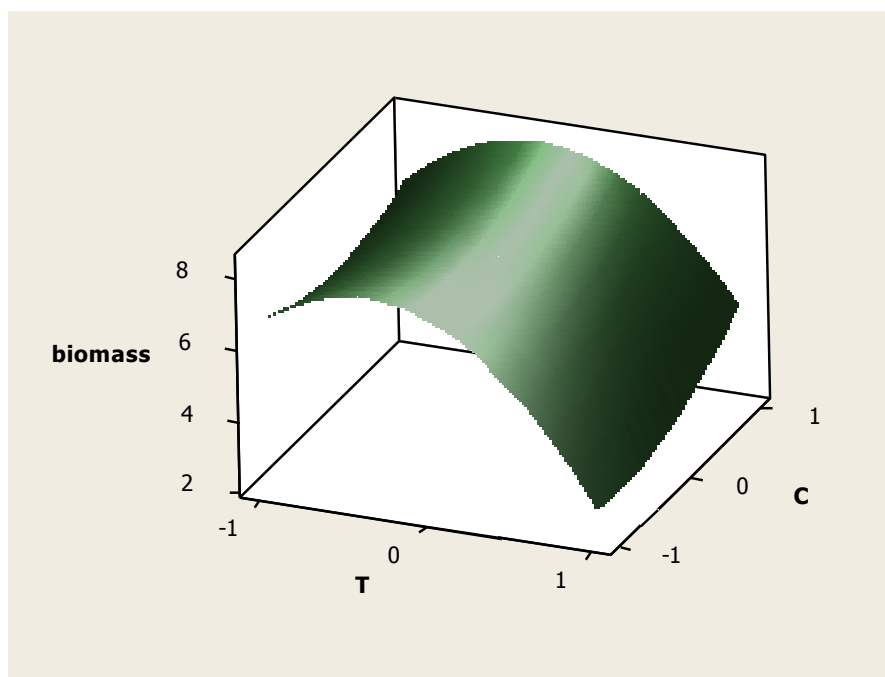


(a)



(b)

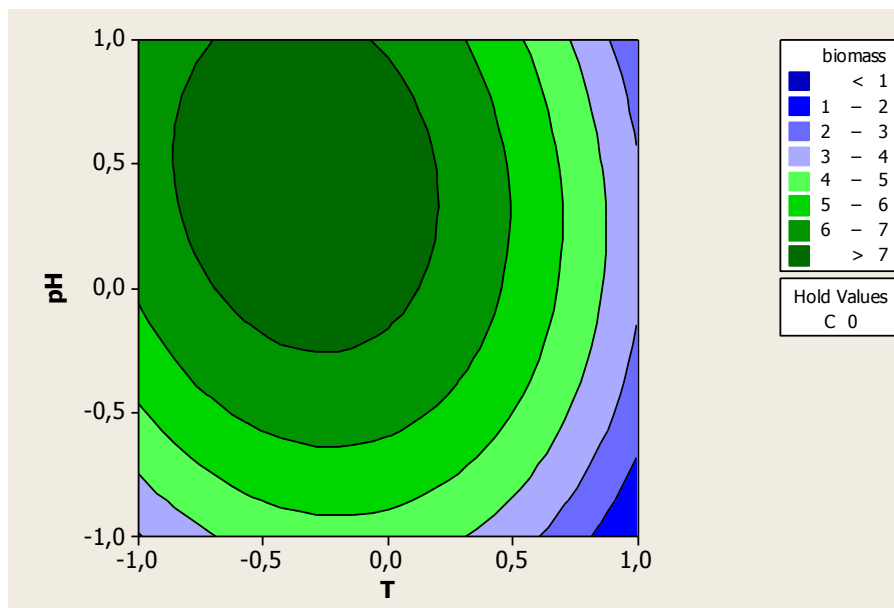




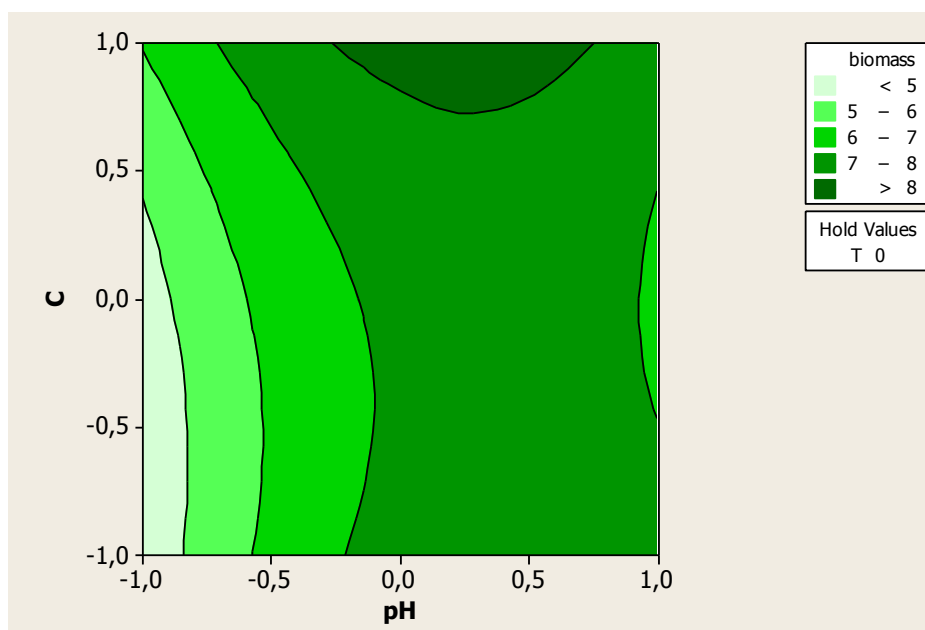
(c)

**Figure 2.** Response surface plots of (a) temperature and pH, (b) pH and carbon source concentration, and (c) temperature and carbon source concentration on biomass production of *Bacillus pumilus* STF26 by holding other factors constant at middle point of the Box-Behnken design

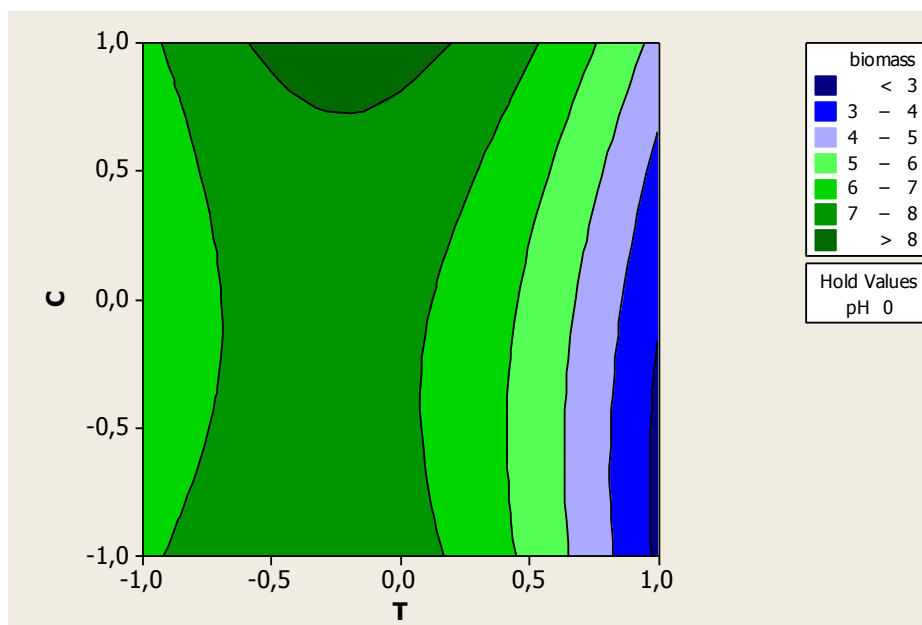
“T” and “C” refer to temperature and carbon source concentration, respectively.



(a)



(b)

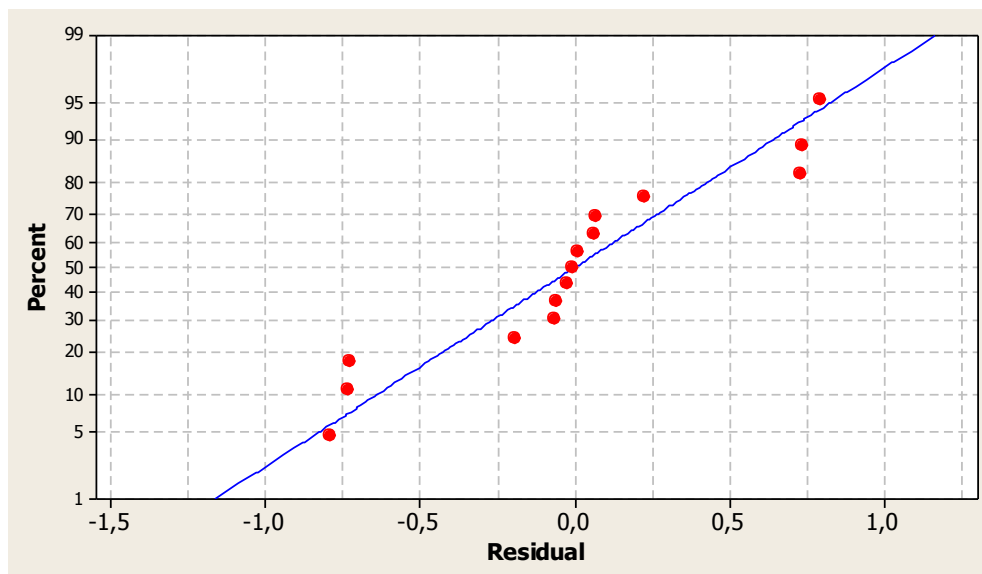


(c)

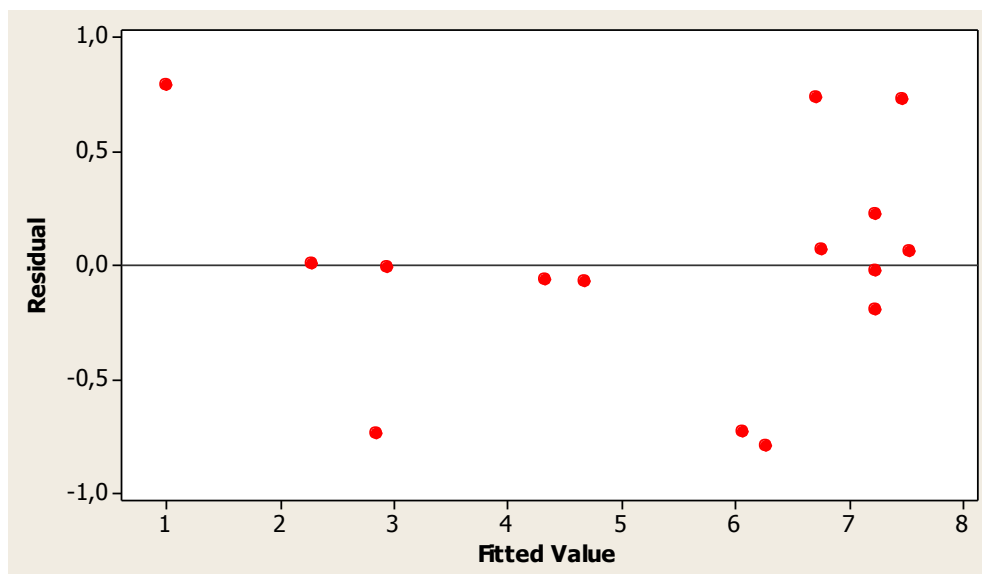
**Figure 3.** Contour plots of (a) temperature and pH, (b) pH and carbon source concentration, and (c) temperature and carbon source concentration on biomass production of *Bacillus pumilus* STF26 by holding other factors constant at middle point of the Box-Behnken design

“T” and “C” refer to temperature and carbon source concentration respectively.

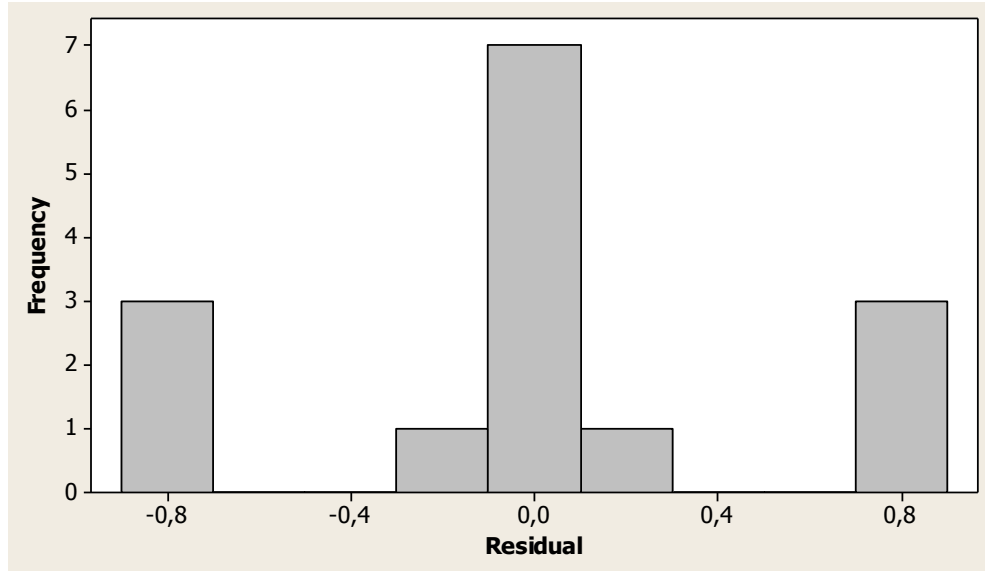
Residual plots that give information about the lack of fit of the model were also plotted. According to the normal probability plot of the residuals shown in Figure 4a, errors were normally distributed and independent of each other. Moreover, as shown in Figure 4b, residual data scatter equally above and below the x-axis, indicating that the variance was independent of the biomass value. The frequency of the residuals and their observation order were also shown in Figure 4c and 4d. As can be seen, most of the residuals in the model were around 0 indicating the goodness of the fit of the model.



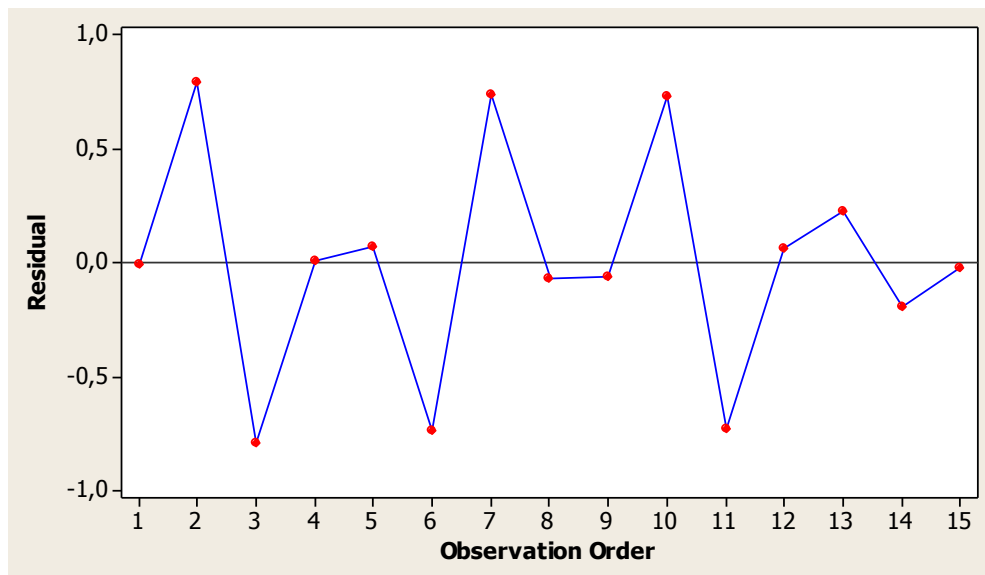
(a)



(b)



(c)

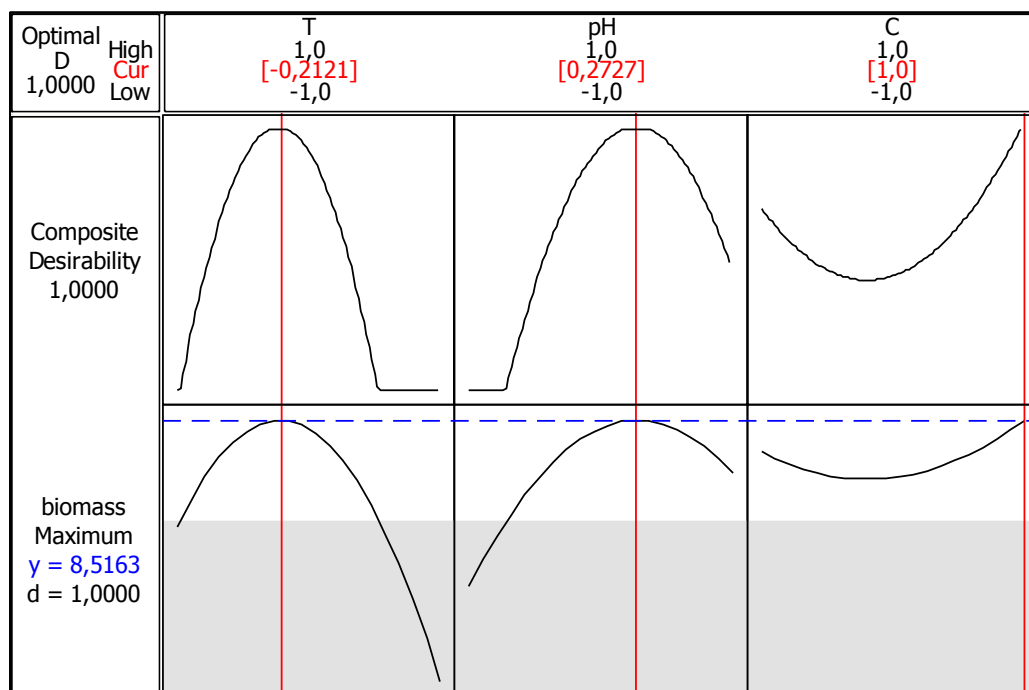


(d)

**Figure 4.** (a) Normal probability plot, (b) residual plot, (c) residual frequency plot, (d) distribution plot of the residuals throughout the experiments of first optimization

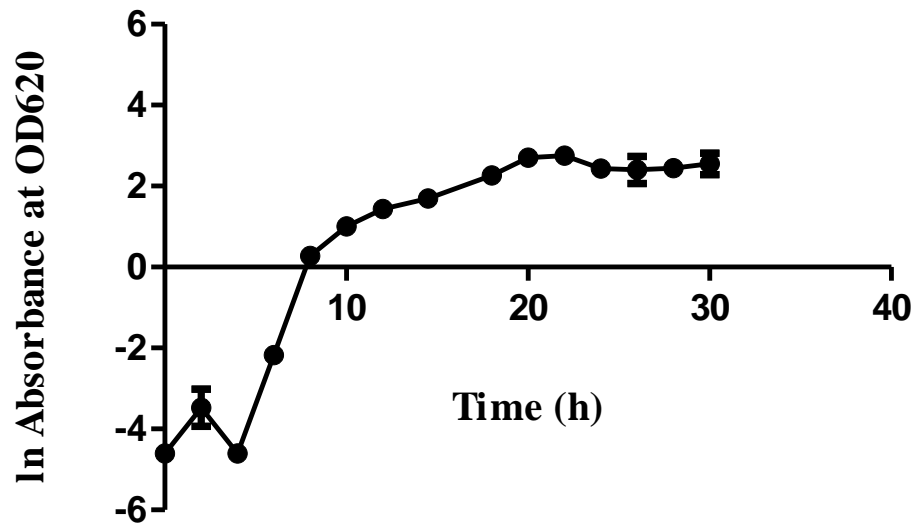
The regression equation (Equation 3) was optimized by using Minitab program and the optimum values for the test variables were found as  $X_1 = 30.9$  °C,  $X_2 = 6.9$  and  $X_3 = 20$  % (w/v) giving a maximum biomass of 8.52 g/L. Optimization plot of the model was shown in Figure 5.

Although results show that the maximum biomass was obtained at the highest dextrose concentration, according to the results of DNS assay all of the sugar in the cultivation medium was not consumed. When only the consumed amount of dextrose was put into the growth medium, biomass production decreased. The reason for this might be that while dextrose at high concentrations triggers the growth of the microorganism, the organism cannot consume it completely. However, it is still recommended to keep the carbon source concentration at the optimum value since the price of carbon source used in this study is very cheap when compared to that of the product which is biomass itself. Moreover, residual sugar that remains after cultivation could be used in another study after sterilization.



**Figure 5.** Optimization plot of Equation 3

In order to verify the optimum values of the variables obtained by response surface methodology, an experiment was conducted with the optimum values of the test variables and the maximum biomass was obtained as 8.35 g/L, very close to the predicted value. Growth of STF26 in the optimized dextrose concentration, temperature and pH was shown in Figure 6. Other medium components were constant at the concentrations of yeast extract, 20 g/L;  $\text{KH}_2\text{PO}_4$ , 2 g/L and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , 1 g/L. Agitation speed and air flow rate were also fixed at 200 rpm and 2 vvm, respectively. Maximum biomass concentration of 8.35 g/L was obtained at 22 h, beginning of the stationary phase.



**Figure 6.** Time course of STF26 cultivation using optimized dextrose concentration, temperature and pH

The process was performed in a 5-L bioreactor.

### **1.3.2. Optimization of Nitrogen Source, $\text{KH}_2\text{PO}_4$ and $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ Concentrations by Response Surface Methodology**

After optimizing temperature, pH and dextrose concentration, three more factors affecting on biomass were tested to further increase the biomass of STF26. Three variables namely the concentrations of yeast extract,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  were optimized using response surface methodology. Concentrations of yeast extract in the range of 2 to 20 g/L,  $\text{KH}_2\text{PO}_4$  from 1 to 5 g/L and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  from 0.2 to 5 g/L were tested. Temperature, pH and dextrose concentration were set to the values obtained from first optimization as



30.9 °C, 6.9 and 20% (w/v) respectively. Test variables with coded and uncoded units and the response values are given in Table 7.

**Table 7.** Box-Behnken design matrix of the second optimization with three variables in coded and uncoded units and with the response, biomass

Trial No.	2nd optimization			Biomass (g/L)
	$x_4$	$x_5$	$x_6$	
1	0.2 (-1)	0.1 (-1)	0.26 (0)	2.89
2	2 (+1)	0.1 (-1)	0.26 (0)	5.62
3	0.2 (-1)	0.5 (+1)	0.26 (0)	2.85
4	2 (+1)	0.5 (+1)	0.26 (0)	4.93
5	0.2 (-1)	0.3 (0)	0.02 (-1)	2.52
6	2 (+1)	0.3 (0)	0.02 (-1)	5.68
7	0.2 (-1)	0.3 (0)	0.5 (+1)	2.44
8	2 (+1)	0.3 (0)	0.5 (+1)	7.35
9	1.1 (0)	0.1 (-1)	0.02 (-1)	6.57
10	1.1 (0)	0.5 (+1)	0.02 (-1)	8.52
11	1.1 (0)	0.1 (-1)	0.5 (+1)	9.69
12	1.1 (0)	0.5 (+1)	0.5 (+1)	5.09
13	1.1 (0)	0.3 (0)	0.26 (0)	5.41
14	1.1 (0)	0.3 (0)	0.26 (0)	5.54
15	1.1 (0)	0.3 (0)	0.26 (0)	5.34

\* $x_4$  is yeast extract concentration (% w/v),  $x_5$  is  $\text{KH}_2\text{PO}_4$  concentration (% w/v) and  $x_6$  is  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration (% w/v).

Regression analysis of the experimental data was done by using Minitab software with coded units, and the following equation was obtained that relates biomass and the factors tested:

$$Y(\text{biomass}) = 5.4300 + 1.6100x_4 - 0.4225x_5 + 0.1600x_6 - 2.1638x_4^2 + 0.8063x_5^2 + 1.2312x_6^2 - 0.1625x_4x_5 + 0.4375x_4x_6 - 1.6375x_5x_6 \quad (4)$$

where  $Y$  is the biomass concentration,  $x_4$ ,  $x_5$  and  $x_6$  are coded values of the concentrations of yeast extract,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  respectively.

$R^2$  value used to test the fit of the model was 0.96, suggesting 96% of the total variation is explained by the equation.  $R^2$  (adj) value was 0.90, which is very close to the  $R^2$  value as in the first optimization.

Table 8 shows the regression coefficients of the 2<sup>nd</sup> optimization model and the  $p$  values. According to the  $p$  values of the present model, concentration of yeast extract, quadratic effects of yeast extract concentration and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration and the interaction of  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentrations have significant effects on the biomass production. Although other coefficients in the model do not affect significantly on biomass, all terms were included in Equation 4 since the  $R^2$  value, 0.96, was showing that the model was very reliable. Moreover, the lack of fit was not significant and therefore the fitted model was appropriate for describing of the response surface.

**Table 8.** Response surface regression results for first optimization\*\*

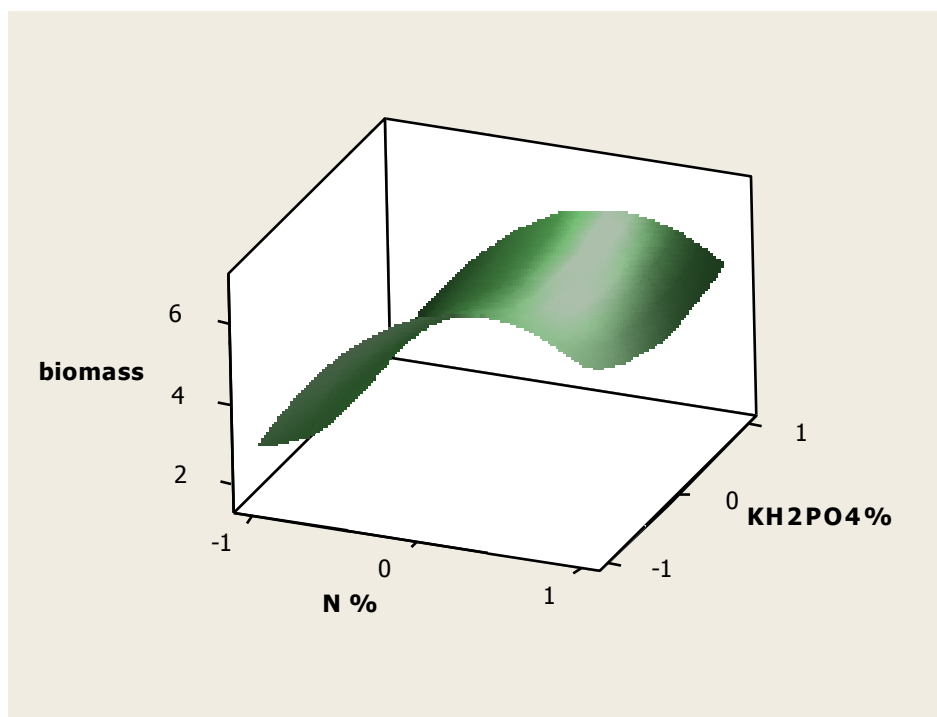
Term	Coefficient	Standard error of coefficient	t value	p value
Constant	5.4300	0.3883	13.986	0.000
$x_4$	1.6100	0.2378	6.772	0.001*
$x_5$	-0.4225	0.2378	-1.777	0.136
$x_6$	0.1600	0.2378	0.673	0.531
$x_4 \cdot x_4$	-2.2638	0.3500	-6.183	0.002*
$x_5 \cdot x_5$	0.8063	0.3500	2.304	0.069
$x_6 \cdot x_6$	1.2312	0.3500	3.518	0.017*
$x_4 \cdot x_5$	-0.1625	0.3362	-0.483	0.649
$x_4 \cdot x_6$	0.4375	0.3362	1.301	0.250
$x_5 \cdot x_6$	-1.6375	0.3362	-4.870	0.005*

$$R^2 = 96.45 \%, R^2 (\text{adj}) = 90.05 \%, p_{(\text{lack of fit})} = 0.14$$

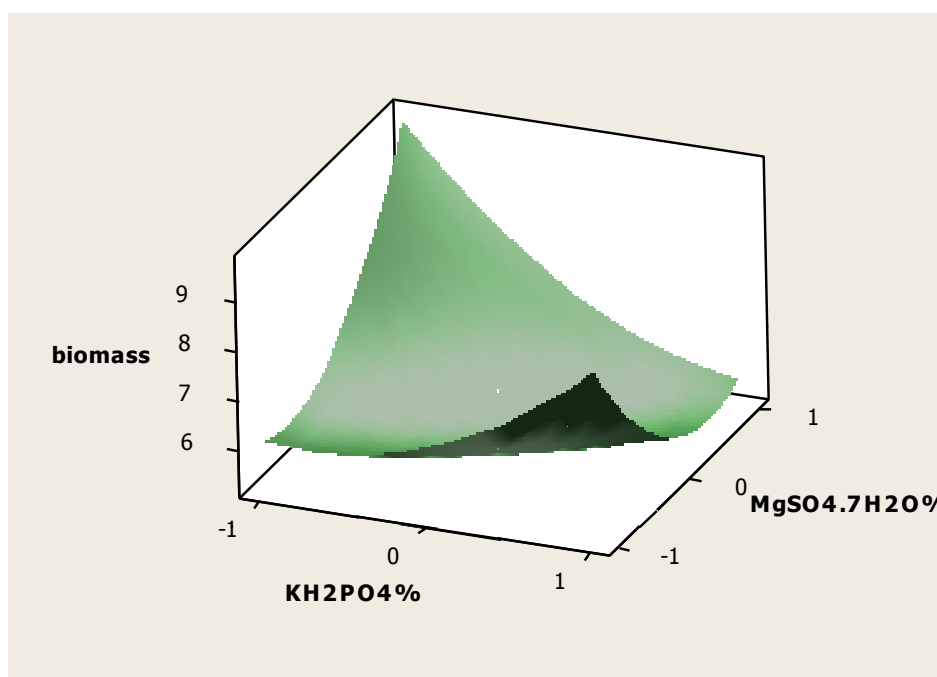
\*  $p < 0.05$  is significant.

\*\*  $x_4$ ,  $x_5$ , and  $x_6$  represents the concentrations of yeast extract,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  respectively.

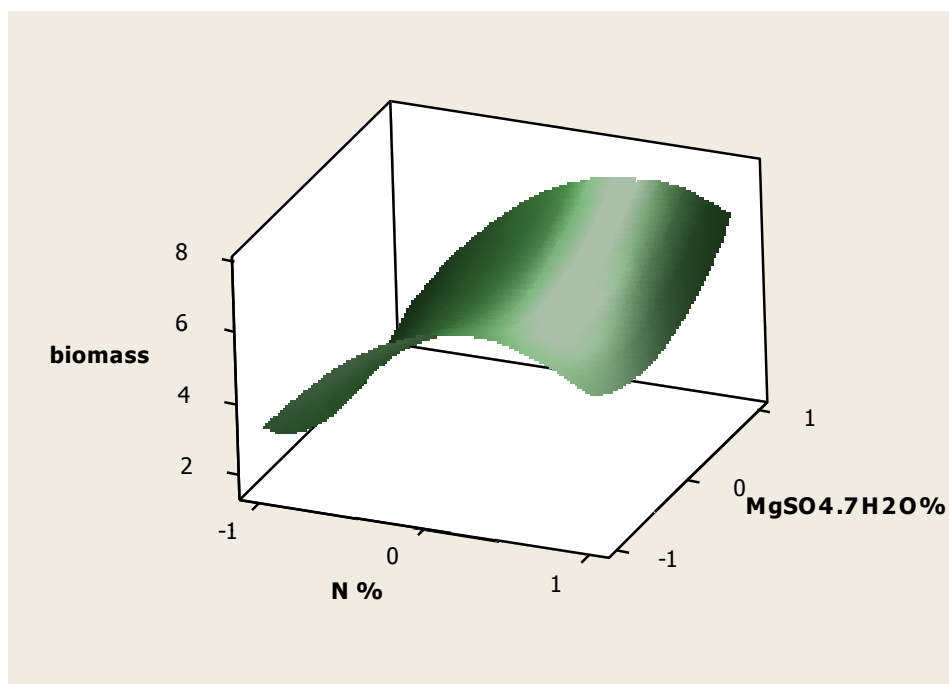
Response surface and contour plots were constructed for the second optimization in order to observe the effects of interactions between two factors tested Figure 7 and Figure 8. The elliptical shape of the response surface showing the interaction between  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  indicate that this interaction has significant effect on biomass production of STF26.



(a)



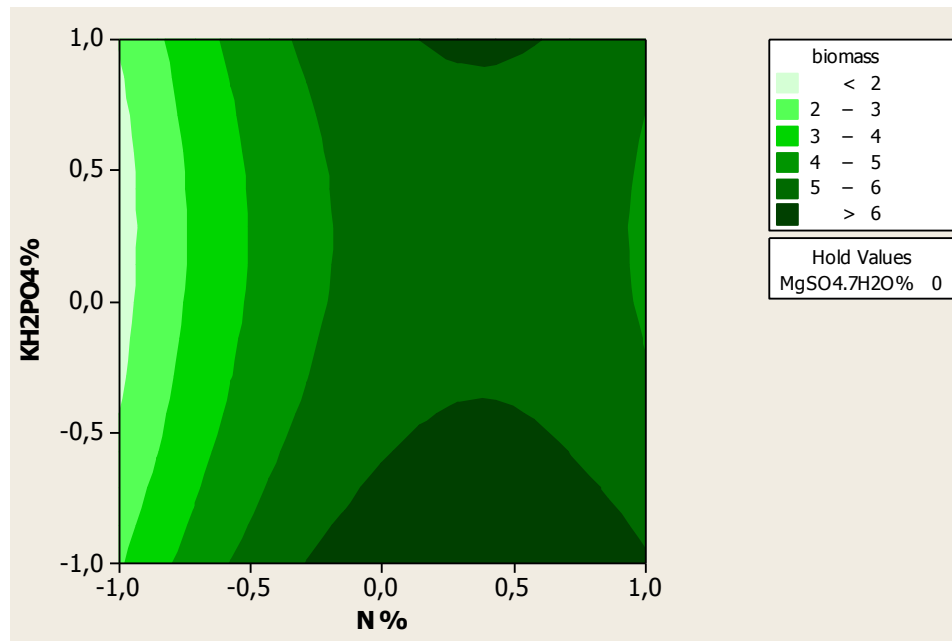
(b)



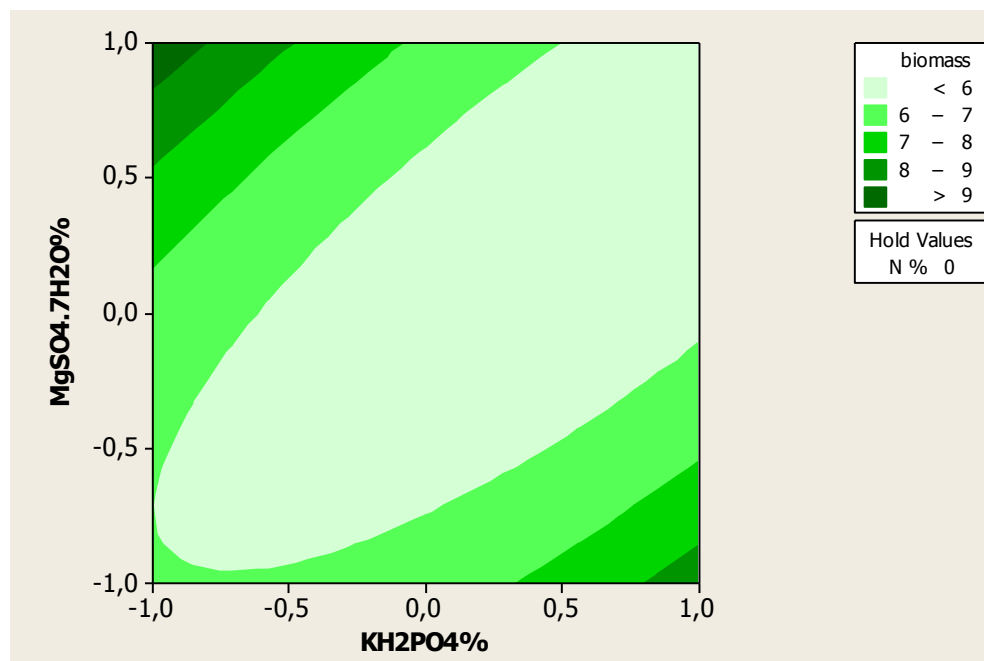
(c)

**Figure 7.** Response surface plots of (a) nitrogen source concentration and  $\text{KH}_2\text{PO}_4$  concentration (b)  $\text{KH}_2\text{PO}_4$  concentration and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration, and (c) nitrogen source concentration and carbon source concentration on biomass production of *Bacillus pumilus* STF26 by holding other factors constant at middle point of the Box-Behnken design

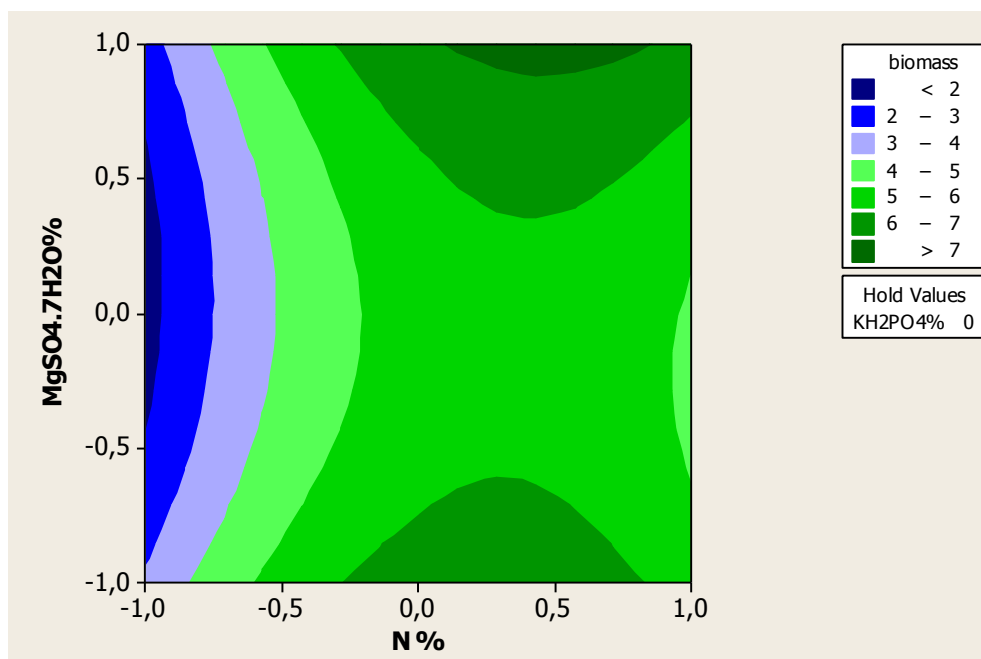
“N%”, “ $\text{KH}_2\text{PO}_4\%$ ” and “ $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}\%$ ” refer to nitrogen source,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentrations respectively.



(a)



(b)



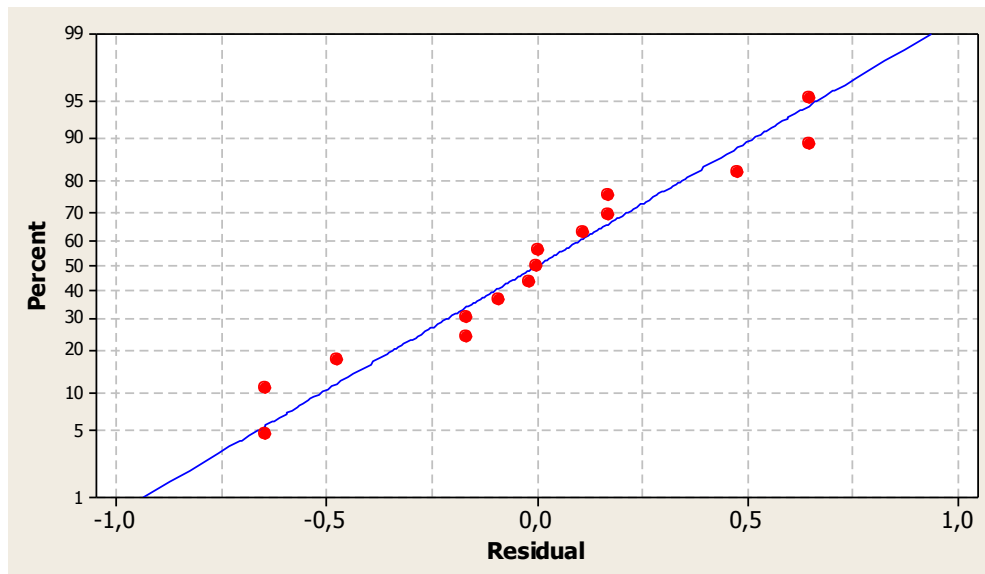
(c)

**Figure 8.** Contour plots of (a) nitrogen source concentration and  $\text{KH}_2\text{PO}_4$  concentration (b)  $\text{KH}_2\text{PO}_4$  concentration and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration, and (c) nitrogen source concentration and carbon source concentration on biomass production of *Bacillus pumilus* STF26 by holding other factors constant at middle point of the Box-Behnken design

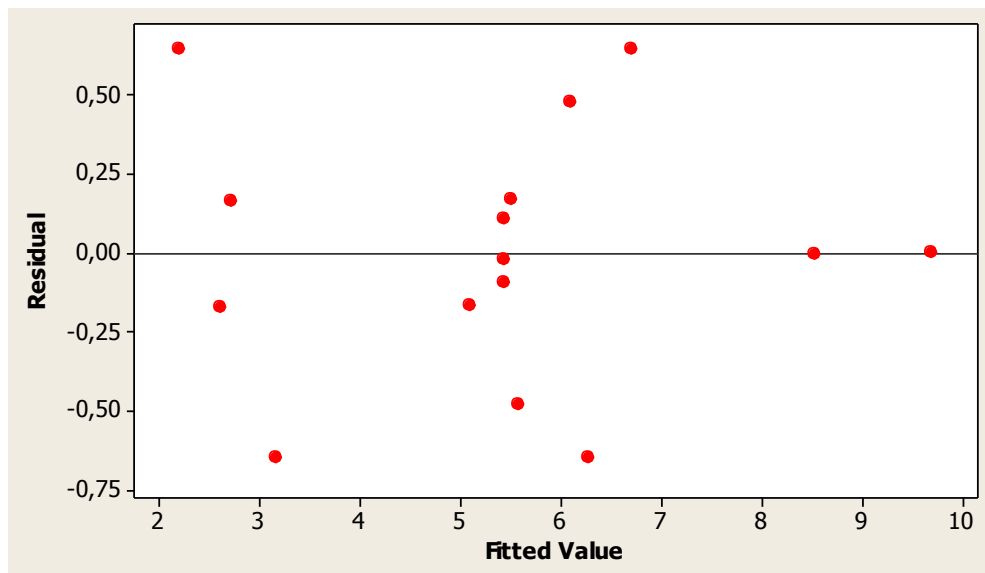
“N%”, “ $\text{KH}_2\text{PO}_4\%$ ” and “ $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}\%$ ” refer to nitrogen source,  $\text{KH}_2\text{PO}_4$  and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentrations respectively.

Normal probability plot (Figure 9a), residual plot (Figure 9b), residual frequency plot (Figure 9c), and distribution plot of the residuals throughout the experiments of second optimization (Figure 9d) were also graphed to check lack of fit of the model. Residual plots show that the errors were normally distributed and the variance was independent of the response.

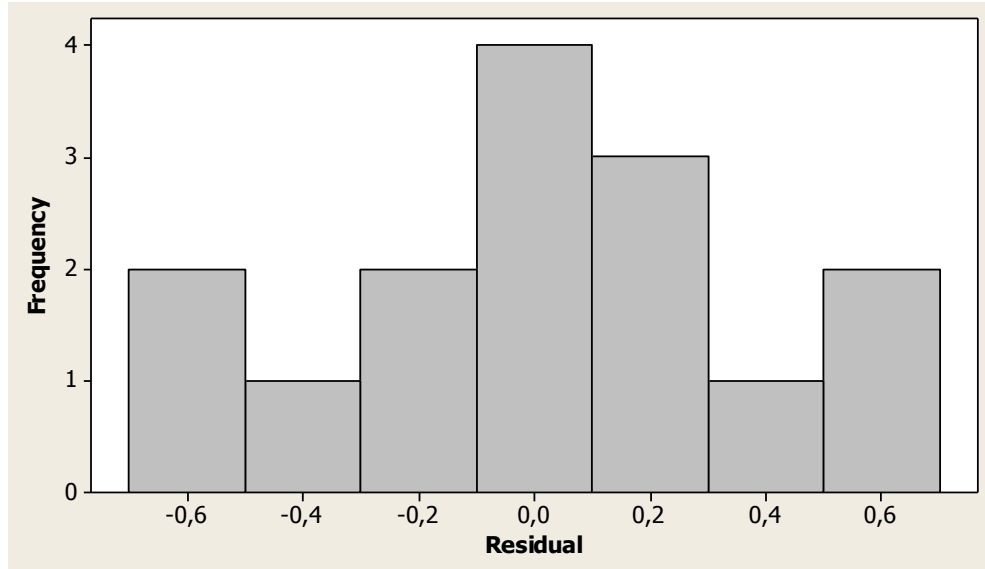




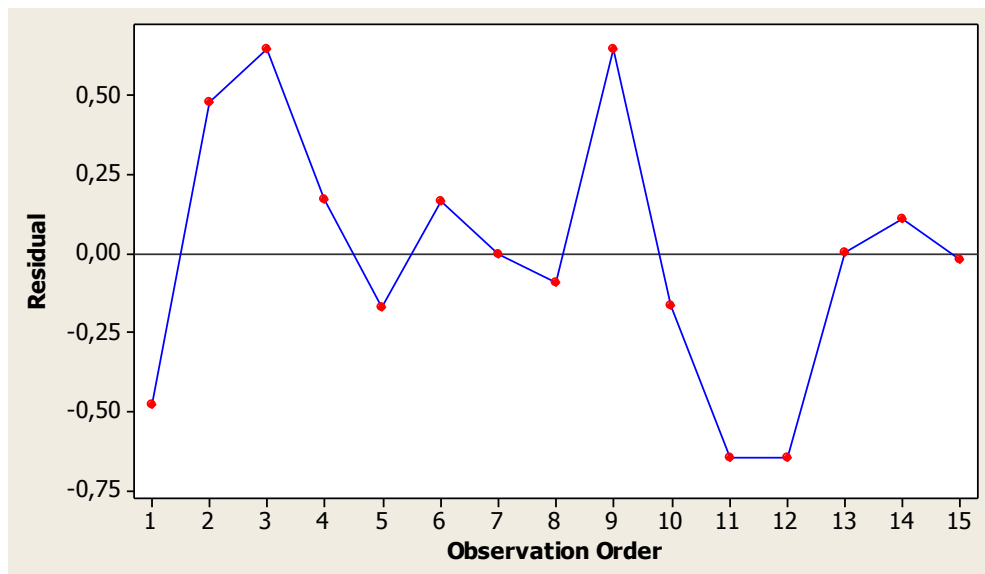
(a)



(b)



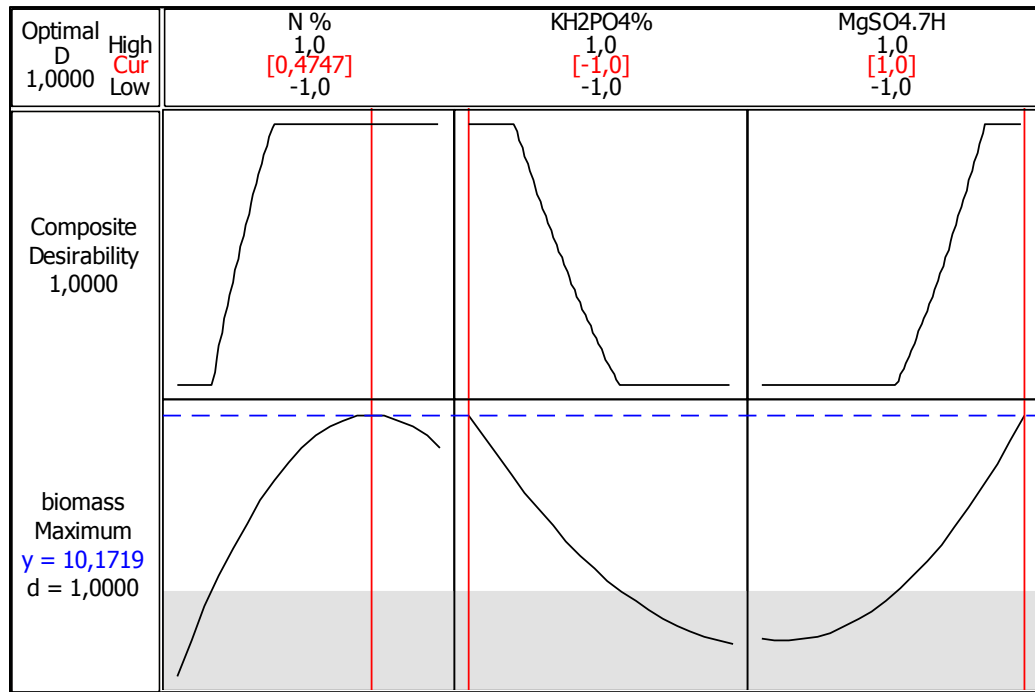
(c)



(d)

**Figure 9.** (a) Normal probability plot, (b) residual plot, (c) residual frequency plot, (d) distribution plot of the residuals throughout the experiments of second optimization

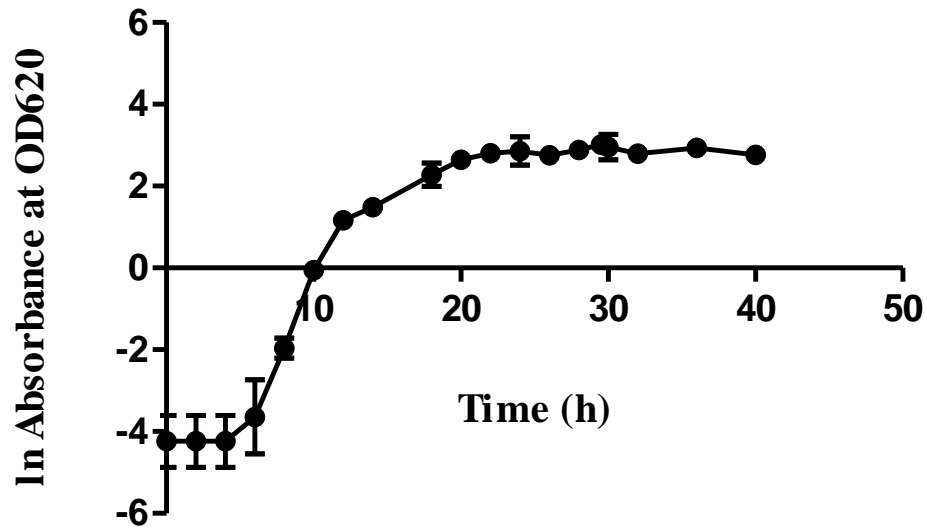
Optimum values of the test variables were determined by optimizing the regression equation (Equation 4) using Minitab program and the optimum values were found as  $X_4 = 1.526$  % (w/v),  $X_5 = 0.1$  % (w/v) and  $X_6 = 0.5$  % (w/v) giving a maximum biomass of 10.17 g/L. Optimization plot of the model was shown in Figure 10.



**Figure 10.** Optimization plot of Equation 4

Optimization results were confirmed by conducting an experiment with the optimum values of the test variables obtained by response surface methodology. Maximum biomass was measured as 10.42 g/L which is close to the predicted value found by the optimization of the regression equation (Equation 4). Growth of STF26 was observed at optimum levels of the variables and the growth curve was plotted Figure 11. Other variables (temperature, pH and dextrose concentration) were constant at their optimum values that were found out in first

optimization. Agitation speed and air flow rate were again set to 200 rpm and 2 vvm respectively. Maximum biomass concentration was obtained as 10.42 g/L at 24 h, beginning of the stationary phase.



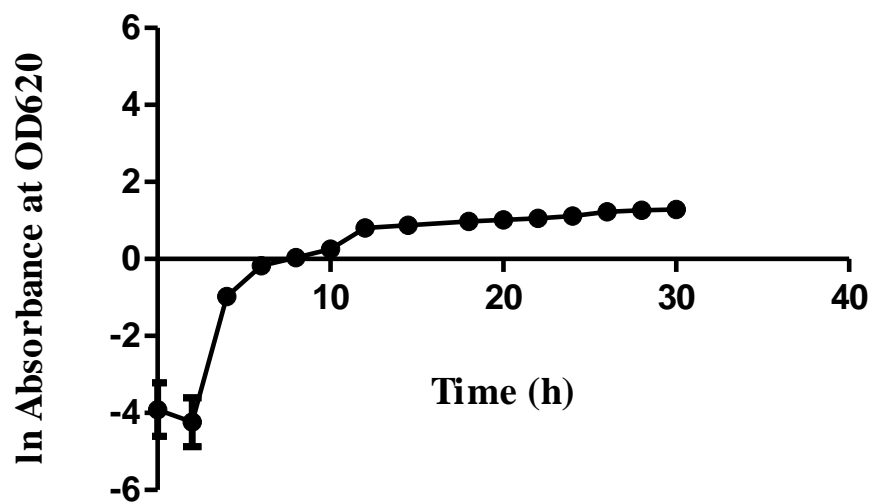
**Figure 11.** Time course of STF26 cultivation using optimized yeast extract concentration (% , w/v),  $\text{KH}_2\text{PO}_4$  concentration (% , w/v),  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration (% , w/v) together with optimized dextrose concentration, temperature and pH

The process was performed in a 5-L bioreactor.

After two steps of optimization, it is determined that optimum concentrations of the medium components were 20% dextrose (w/v), 1.526 % yeast extract (w/v), 0.1 %  $\text{KH}_2\text{PO}_4$  (w/v) and 0.5 %  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  (w/v) to obtain maximum

concentration of STF26 biomass and optimum cultivation conditions were 30.9 °C and 6.9 pH.

Finding out the optimum concentrations of the medium components, growth of STF26 in optimized medium was compared with the one in LB medium. Other cultivation conditions were the same in both media where temperature and pH were at their optimized values. Maximum biomass concentration obtained when the culture was grown in LB was 4.23 g/L, nearly 2.5 times lower than the value obtained when the culture was grown in optimized medium.



**Figure 12.** Time course of STF26 cultivation using LB broth (Sigma) at optimized temperature and pH

The process was performed in a 5-L bioreactor.

## 1.4. CONCLUSION AND FUTURE PERSPECTIVES

Optimization of the cultivation conditions and the medium composition are of crucial importance since they considerably affect overall process economics. In this study, in order to maximize the biomass of a potential probiotic strain, concentrations of four main medium components (dextrose, yeast extract,  $\text{KH}_2\text{PO}_4$ ,  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ ), temperature and the pH values were optimized by using response surface methodology (RSM). RSM is a more advantageous technique than the conventional one-factor-at-a-time method, since it is less time-consuming and it also analyzes the interactive effects among the variables tested. The results demonstrate that optimum values of temperature, pH, dextrose concentration, yeast extract concentration,  $\text{KH}_2\text{PO}_4$  concentration and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration are 30.9 °C, 6.9, 20 % (w/v), 1.526 % (w/v), 0.1 % (w/v) and 0.5 % (w/v) respectively to obtain maximum biomass. Maximum biomass obtained at optimized conditions was 10.42 g/L and this value was considerably higher when it was compared with the value obtained by using LB medium. After second optimization studies, first optimization can be repeated by using the optimized values of yeast extract concentration,  $\text{KH}_2\text{PO}_4$  concentration and  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  concentration in order to check the goodness of the optimum temperature, pH and dextrose concentration values. Biomass of this microorganism can be further increased by optimizing other cultivation conditions such as air flow rate and agitation speed.

## **CHAPTER 2**

### **Production and Characterization of a Biosurfactant Produced by a Novel *Staphylococcus xylosus* Strain**

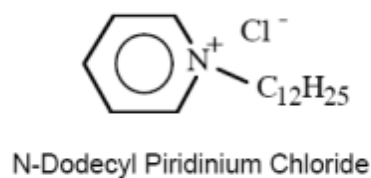
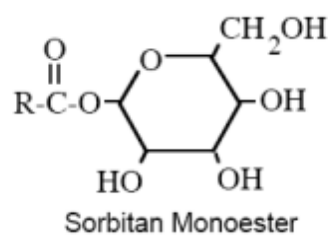
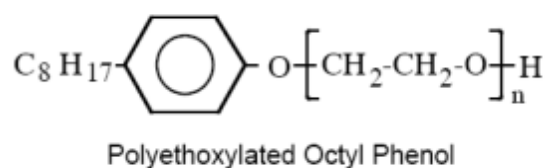
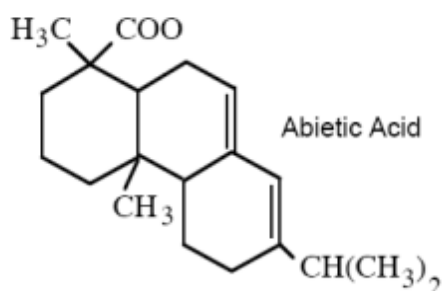
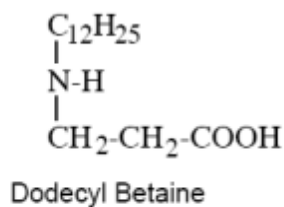
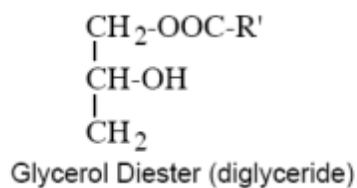
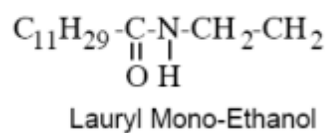
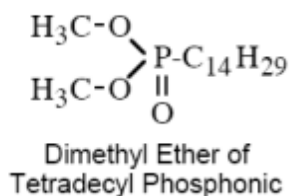
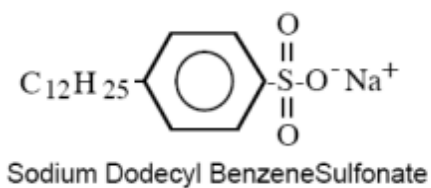
#### **2.1. INTRODUCTION**

##### **2.1.1. Surfactants**

Surfactants (surface active agents) are amphipathic molecules that reduce the interfacial tensions between liquids, solids and gases (3, 15, 37, 47). All surfactants have two ends one of which is hydrophobic and the other is hydrophilic. Hydrophobic end is a hydrocarbon part and is less soluble in water. This part of the surfactants is a long chain of fatty acids, hydroxy fatty acids, hydroxyl fatty acids or  $\alpha$ -alkyl- $\beta$ -hydroxy fatty acids. Hydrophilic end is water soluble and could be a carbohydrate, amino acid, cyclic peptide, phosphate, carboxylic acid or alcohol (40). Surfactants can be classified based on their dissociation in water as; anionic surfactants, nonionic surfactants, cationic surfactants, and amphoteric surfactants. Anionic surfactants are the most commonly used ones and they are dissociated in water (47). These surfactants are negatively charged usually because of a sulphonate or sulphur group (40). Alkylbenzene sulfonates (detergents), soaps (fatty acid), lauryl sulfate (foaming agent), di-alkyl sulfosuccinate (wetting agent), lignosulfonates (dispersants) are some examples of anionic surfactants. Nonionic surfactants are the second commonly used surfactants. Since their hydrophilic group is a non-dissociable type, (such as alcohol, phenol, ester, ether, or amide) they do not ionize in

aqueous solutions (47). Cationic surfactants are dissociated in water and they are characterized by a positively charged quaternary ammonium group (40, 47). Finally, amphoteric surfactants are the ones that have both anionic and cationic properties in the same molecule (40, 47). Examples of a few most commonly used surfactants are shown in Figure 13.



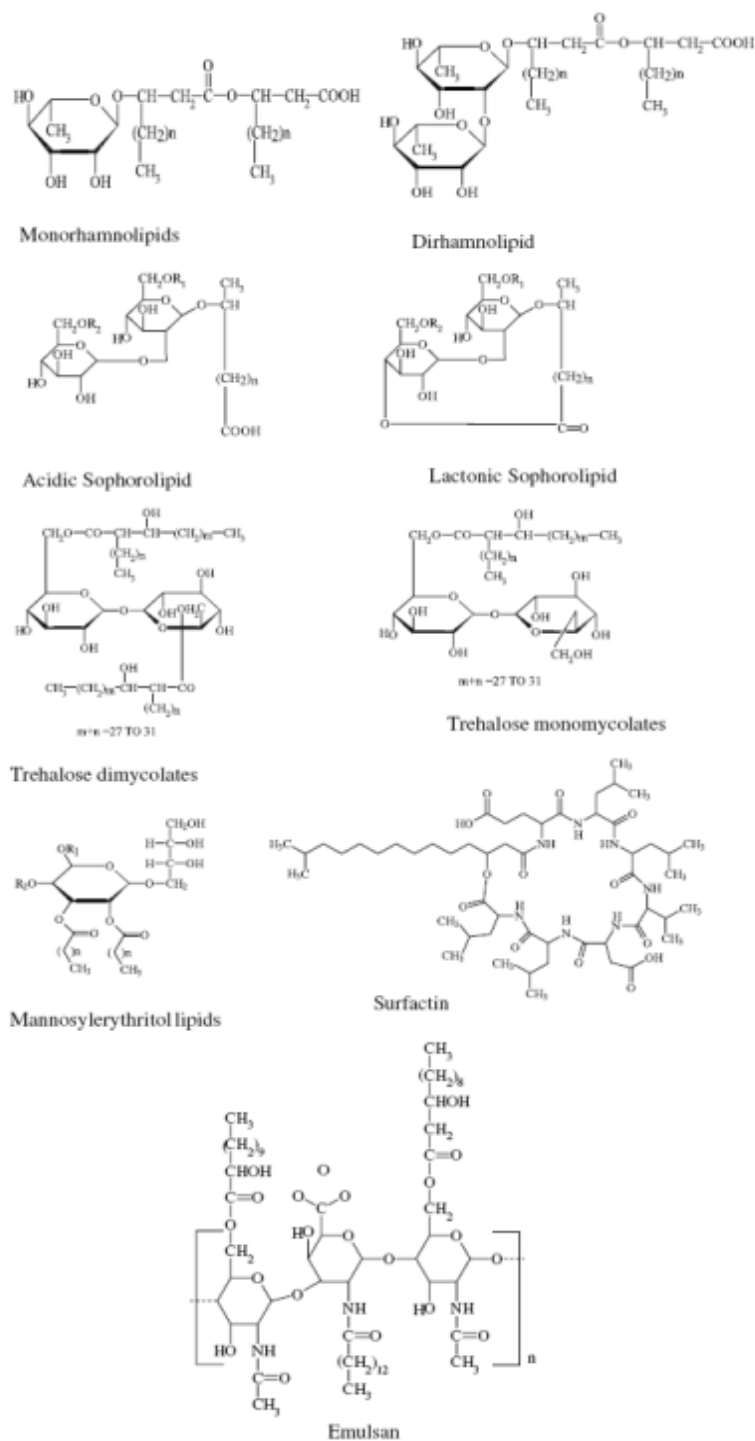


**Figure 13.** Commonly used surfactants (47)

### 2.1.2. Biosurfactants

Biosurfactants are the surface active agents that are produced by microorganisms as bacteria, yeast and filamentous fungi (40). Biosurfactants can be classified due to their molecular weight as low-molecular-weight molecules and high-molecular-weight polymers. Lipopeptides, also called as surfactin, and

glycolipids are the examples of low-molecular-weight biosurfactants. These compounds lower the surface and interfacial tension while high-molecular-weight polymers do not reduce the surface tension as much but usually stabilize emulsions of oil-in-water (2). Food emulsifiers and biodispersants are the examples of high-molecular-weight polymers (40). The most commonly studied biosurfactants are glycolipids including rhamnolipids, sophorolipids and trehalolipids. Other examples of commonly studied biosurfactants are lipoproteins and lipopeptides, fatty acids, phospholipids and the polymeric ones such as emulsan and liposan (2, 15). Chemical structures of some biosurfactants are shown in Figure 14.



**Figure 14.** Chemical structure of most studied biosurfactants (2)

There are various microorganisms that produce different types of biosurfactants.

Most known biosurfactants and the microorganisms produce them are given in

Table 9.

**Table 9.** Major types of biosurfactants produced by microorganisms (3, 40)

<b>Biosurfactant Type</b>	<b>Microorganism</b>
Trehalose lipids	<i>Rhodococcus</i> sp.
	<i>Norcardia</i> sp.
	<i>Corynebacterium</i> sp.
	<i>Arthrobacter paraffineus</i>
Rhamnolipids	<i>Pseudomonas aeruginosa</i>
	<i>Serratia rubidea</i>
Glycolipids	<i>Alcanivorax borkumensis</i>
	<i>Tsukamurella</i> sp.
	<i>Serratia marcescens</i>
Sophorolipids	<i>Candida bombicola</i>
	<i>Candida apicola</i>
	<i>Candida lipolytica</i>
Surfactin	<i>Bacillus subtilis</i>
	<i>Bacillus pumilus</i>
Viscosin	<i>Pseudomonas fluorescens</i>
Fatty acids	<i>Capnocytophaga</i> sp.
	<i>Penicillium spiculisporum</i>
	<i>Corynebacterium lepus</i>
	<i>Arthrobacter paraffineus</i>
	<i>Norcardia erythropolis</i>
Alasan	<i>Acinetobacter</i>
	<i>radioresistens</i>
Lichenysin	<i>Bacillus licheniformis</i>

#### **2.1.2.1. Advantages of Biosurfactants**

There are several advantages of biosurfactants over their chemically synthesized counterparts; therefore, they have received more attention in recent years. The problem with chemically synthesized surfactants is that they are usually toxic, hazardous to environment and not easily biodegradable (3, 28, 33, 37). These reasons together with the restrictions in environmental legislations and the awareness among people to protect environment have led biosurfactants to gain more interest (3, 28).

Biosurfactants are biodegradable and low toxic; therefore, they do not constitute much threat to environment (37, 54). Moreover, they have the properties of biocompatibility and digestibility, which allows them to be used in different industries. They have specific functional groups making them specific in their action (24). In addition, biosurfactants have better foaming properties and are stable at extreme pH, temperature and salinity (3, 33). Also, there are cheap raw materials available to be used by microorganisms for biosurfactant production such as industrial wastes or by-products (24).

#### **2.1.2.2. Applications of Biosurfactants**

Biosurfactants have several applications in different industries (3, 15, 24, 40). They are mainly used for enhanced oil recovery and bioremediation of pollutants (3, 34, 37). Also they have many other potential application areas as agriculture, cosmetics, pharmaceuticals, detergents, personal care products, textile manufacturing, laundry supplies, metal treatment and processing, pulp

and paper processing and paint industries. Moreover, biosurfactants could be used in food industry as emulsifiers, solubilizers, foaming, wetting, antiadhesive and antimicrobial agents (3, 37, 51).

### **2.1.3. Aim of the Study**

Biosurfactants have a broad range of applications in different industries and they have several advantages over their chemically synthesized counterparts. Despite all these properties, they cannot compete economically with synthetic surfactants due to their high production cost, the difficulties in downstream processing and the lack of overproducing strains (56). Therefore, different strategies have been proposed to make the production of biosurfactants more effective (43, 52, 55). In this study in order to overcome these problems, a novel strain that produce biosurfactant was isolated; the biosurfactant was purified and characterized.

## **2.2. MATERIALS AND METHODS**

### **2.2.1. Microorganism and Growth Conditions**

A novel biosurfactant producing strain, STF1, isolated from soil was used in this study and identification of the microorganism was performed by 16S rRNA sequencing.

In order to maintain viability, the microorganism was streaked on LB agar and stored at 4 °C. The plates were renewed monthly. For long term storage, the microorganism was maintained at -80 °C in 30% (v/v) glycerol. When fresh samples are required, stock culture was sub-cultured into 50-mL Erlenmeyer flasks containing 10 mL LB broth and incubated overnight at 37 °C, 125 rpm.

### **2.2.2. Drop Collapse Oil Assay**

The drop-collapse oil assay was performed as described by Bodour et al. with slight modifications (6). Lid of a polystyrene 96-well plate was used to get a qualitative indication of biosurfactant presence. Each well was coated with 2 µL of 15W-40 motorine and it was spread as a thin coating over the bottom of the well. The coated wells were equilibrated for 24 h to provide a uniform oil coating. Then, 5 µL of cell-free supernatant of the microorganism was put on the center of each well. The drop results were determined after 1 min. The beaded drop was recorded as negative, which means the microorganism does not produce biosurfactant. On the other hand, the collapsed drop was recorded as positive meaning that the microorganism produces biosurfactant.

Contact angle measurement system was also used to observe and measure the collapse of the droplet. 15W-40 motorine was spread onto glass slides and left to equilibrate for 24 h for uniform coating. Then, 2  $\mu$ L of the cell-free supernatant was put onto the slide and the contact angle was measured.

### **2.2.3. Hemolysis**

Hemolytic activity of the STF1 was tested as described by Youssef et al. with slight modifications (57). 10- $\mu$ L sample from overnight grown STF1 was dropped onto blood agar and incubated at 37 °C for 48 h. Clear zones around the droplets were visualized, which is the indicative of biosurfactant production (57).

### **2.2.4. Biosurfactant Recovery and Purification**

For biosurfactant recovery, STF1 was grown in a 30-L bioreactor (Sartorius) containing 15 L of LB medium and the foam produced was collected. The foam was filter sterilized by using 0.22- $\mu$ m pore size filters and purified by using preparative HPLC system. A Zorbax Eclipse column XDB C18 (21.2 mm diameter, 150 mm length) was used for the separation and the column flow rate was set to 15 mL/ min. Solvents used were 98 % water with 0.05 % formic acid and 2 % Methanol containing % 0.05 formic acid. Samples were collected from the HPLC and each sample was tested for biosurfactant activity by drop collapse oil assay.



## **2.2.5. Characterization of the Purified Biosurfactant**

### **2.2.5.1. Mass spectrometric analysis**

Mass spectrometric analysis was carried out in a mass spectrometer (Agilent Technologies 6530 accurate-Mass Q-TOF LC/MS) which utilizes electrospray ionization (ESI). Samples were injected into the mass spectrometer at a flow rate of 0.3 mL/min. Negative ion mode was used and scanning was performed at 100-2000  $m/z$  range. The voltage of the capillary was 3.5 kV.

### **2.2.5.2. Fourier Transform Infrared Spectroscopy**

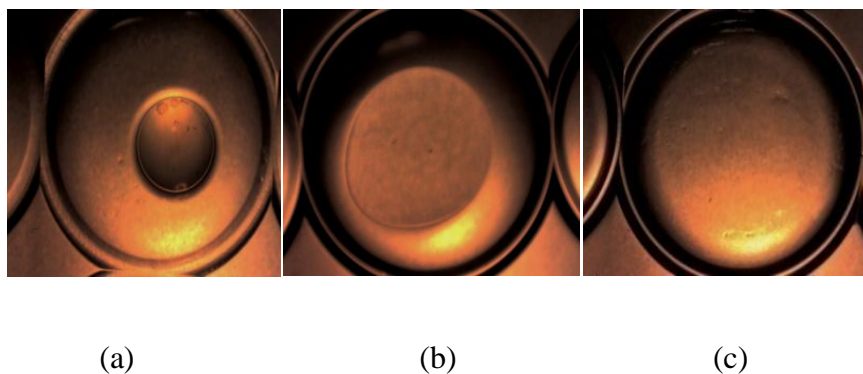
Fourier transform infrared (FTIR) spectrum of the purified biosurfactant sample was obtained by using an FTIR spectrophotometer (Nicolet 6700 FTIR, Thermo Scientific). Samples were put onto the FTIR plate and left drying at 70 °C. Spectrum was generated in a range of 400-4000  $\text{cm}^{-1}$  and recorded.

## 2.3. RESULTS AND DISCUSSION

### 2.3.1. Drop Collapse Oil Assay

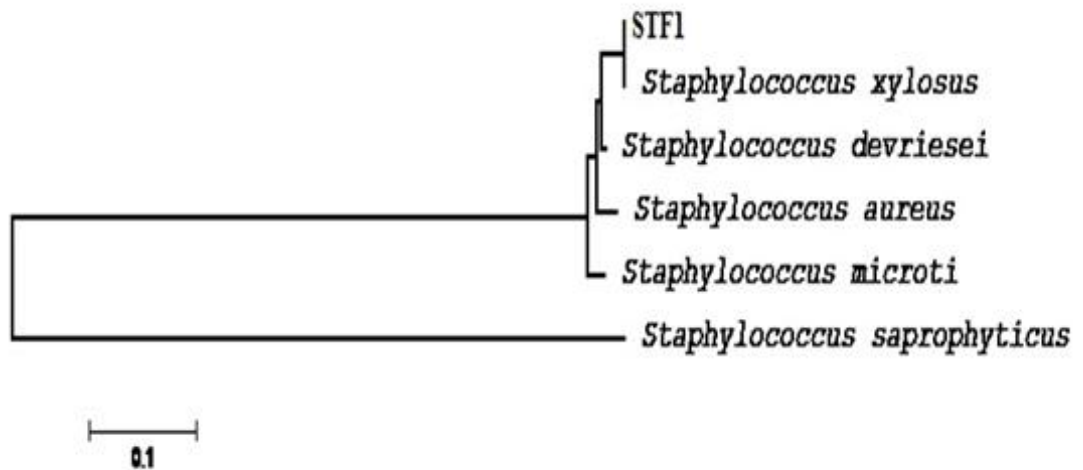
30 microorganisms isolated from different sources were tested for biosurfactant production by drop collapse oil assay, 1 % SDS and supernatant of *E. coli* were used as positive and negative controls respectively during the assays. Among the microorganisms tested, droplet of supernatant of STF1 most effectively spread on the oil surface; therefore, STF1 was selected for the following experiments. Images of the droplets on the oil surface were taken under microscope Figure 15.

It is stated previously that drop collapse oil assay is an effective screening method for biosurfactant production (55); therefore, we can clearly define STF1 as a biosurfactant producer strain.

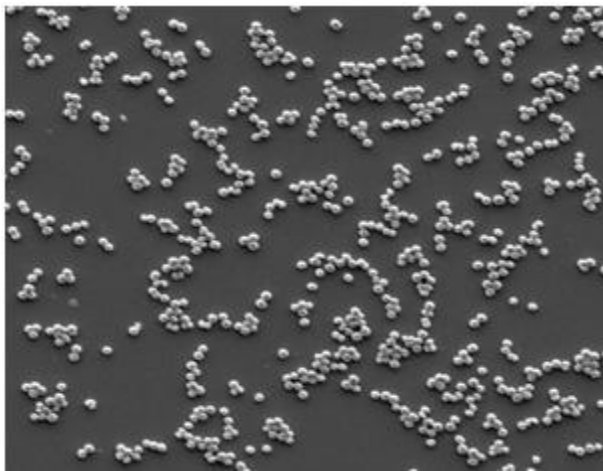


**Figure 15.** Drop collapse oil assay result of STF1. (a) supernatant of *E.coli* as negative control, (b) supernatant of STF1, (c) 1 % SDS as positive control

After determining that STF1 was a biosurfactant producer strain, identification of this isolate was done Figure 16. SEM picture of the isolate was also taken Figure 17 to observe the morphology.



**Figure 16.** Phylogenetic tree of STF1



**Figure 17.** SEM image of STF1

Contact angle measurement system was also used to better observe the droplets on the oil surface Figure 18. According to the results, it is clear that while the droplet from the supernatant of *E. coli* stayed beaded, the droplet from the supernatant of STF1 was collapsed.



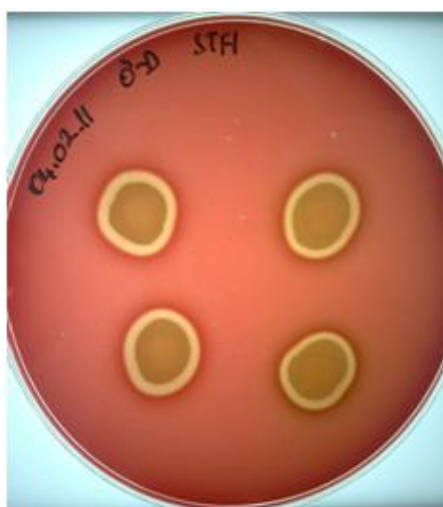
**Figure 18.** Side views of the droplets from (a) supernatant of *E. coli* (negative control), (b) supernatant of STF1 on motorine

Contact angle of *E. coli* supernatant from both left and right sides is  $46.5^{\circ}$ ; contact angles of STF1 from left side is  $5.6^{\circ}$  and from right side is  $5.8^{\circ}$ .

These results show that, STF1 which is a strain of *Staphylococcus xylosus* produces biosurfactant and this biosurfactant makes the droplets on the oil surface collapse.

### 2.3.2. Hemolysis

When dropped onto blood agar, STF1 cells showed hemolytic activity and produced clear zones around the droplets Figure 19.

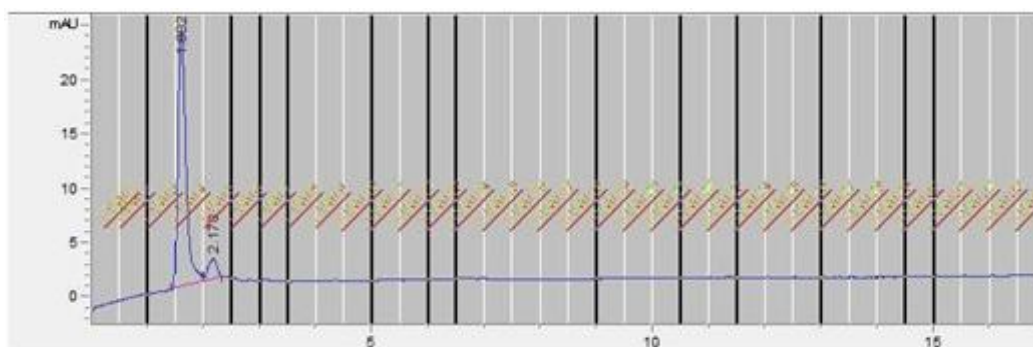


**Figure 19.** Hemolytic activity result of STF1

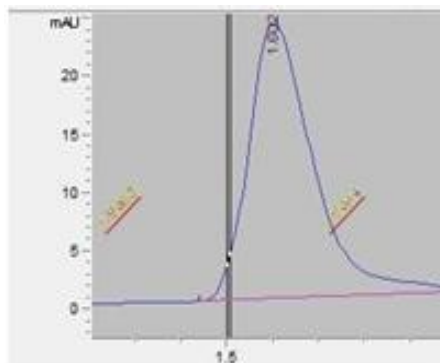
Walter et al. stated that biosurfactants can cause hemolysis (55). However, the hemolytic activity method is not specific and the reason of the clear zones might also be the lytic enzymes (55). It is explained in many studies that hemolysis does not certainly indicate biosurfactant production (55, 57).

### 2.3.3. Biosurfactant Recovery and Purification

For the recovery of biosurfactant, STF1 was grown in 30-L bioreactor (Sartorius) and the biosurfactant was collected by foam fractionation. It is previously indicated that, biosurfactant tends to concentrate on the foam due to the surface activity (33). The foam was filter sterilized and the biosurfactant were separated by using preparative HPLC system Figure 20. Samples were collected from HPLC vials and tested for biosurfactant activity. Sample that spreads more on the motorine during drop collapse oil test was collected from HPLC and characterized by using mass spectrometry and FTIR. HPLC peak of the biosurfactant carrying sample was shown in Figure 21.



**Figure 20.** Preparative HPLC peaks of the foam sample

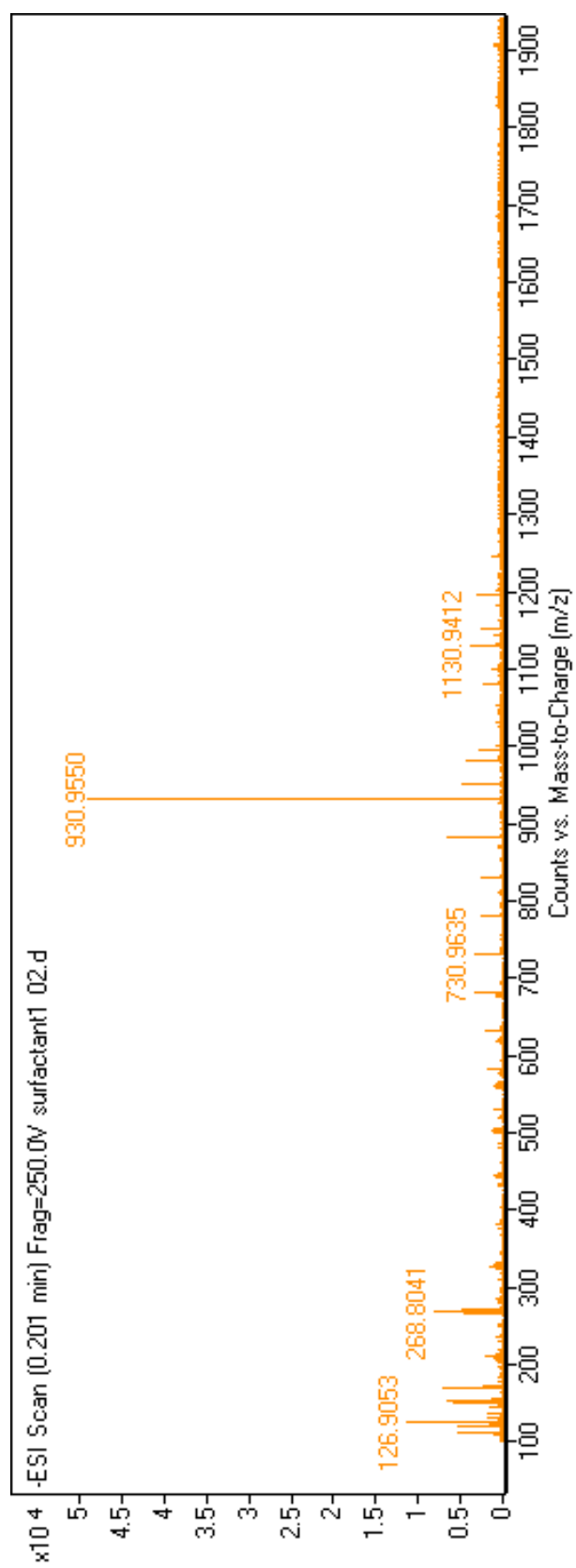


**Figure 21.** Preparative HPLC peak of the sample carrying biosurfactant

### **2.3.4. Characterization of the Purified Biosurfactant**

#### **2.3.4.1. Mass spectrometric analysis**

Mass spectrometric analysis was carried out in a mass spectrometer (Agilent Technologies 6530 accurate-Mass Q-TOF LC/MS) which utilizes electrospray ionization (ESI) and the spectrum is given in Figure 22. According to the results, mass peak of the purified biosurfactant sample was at 931.955 (m/z) since negative ion mode was used in this study.



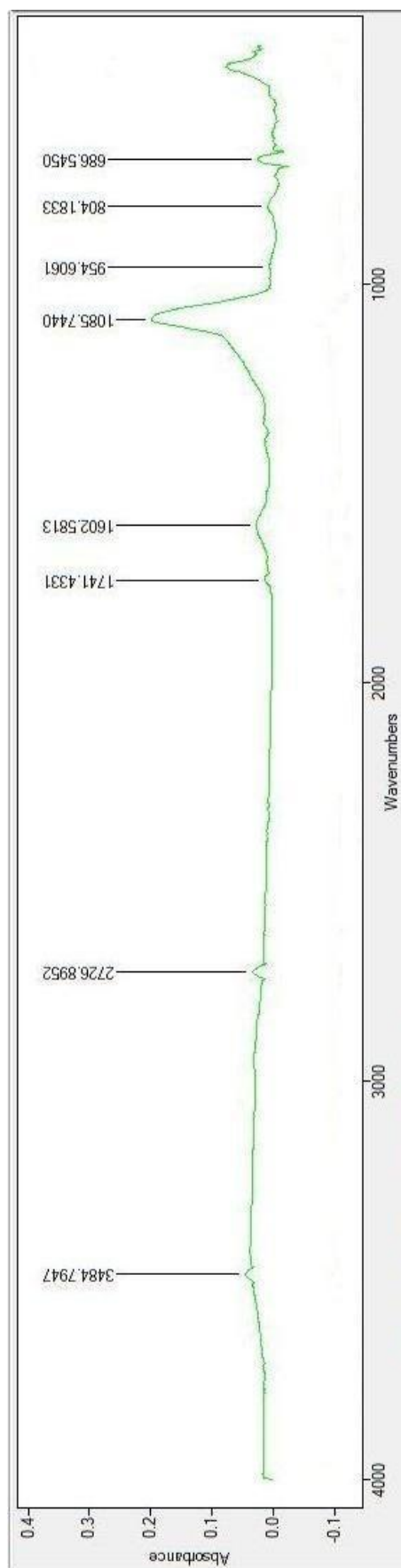
**Figure 22.** MS spectrum of the purified biosurfactant sample

#### **2.3.4.2. Fourier Transform Infrared Spectroscopy**

The molecular composition of the purified biosurfactant sample was evaluated by FTIR and the spectrum is given in Figure 23.

The peak at  $3484\text{ cm}^{-1}$  was due to the presence of N-H stretching which indicated the peptide groups and the C-H stretching observed in the range  $2726\text{ cm}^{-1}$  indicated the aliphatic chain. The peak at  $1741\text{ cm}^{-1}$  was the characteristic band for ester compounds. The peak observed at  $1602\text{ cm}^{-1}$  was due to the presence of N-H bond indicating the presence of peptides. C-N stretch and  $=\text{CH}_2$  were indicated by the band at  $1085\text{ cm}^{-1}$  and  $954\text{ cm}^{-1}$  respectively. Moreover, the bands at  $804\text{ cm}^{-1}$  and  $686\text{ cm}^{-1}$  revealed the presence of C-H bending. These results indicated the lipopeptide nature of the biosurfactant isolated from STF1.





**Figure 23.** FTIR spectrum of the purified biosurfactant sample

## 2.4. CONCLUSION AND FUTURE PERSPECTIVES

Biosurfactants have a number of advantages over their chemically synthesized counterparts such as being biodegradable and nontoxic. However, despite all their advantages microbial surfactants cannot compete economically with the chemical surfactants due to their high production cost, the difficulties in downstream processing and the lack of overproducing strains. In this study, a novel biosurfactant producing strain was isolated to overcome this problem and the characterization of the biosurfactant was done. Results demonstrate that the isolated strain *Staphylococcus xylosus* STF1 produces biosurfactant having lipopeptide nature. Production of biosurfactant by *Staphylococcus xylosus* has not been indicated in the literature; therefore, this study confers a novel biosurfactant producing strain. Further studies can be done to increase the biosurfactant production of this strain by optimizing the cultivation conditions and the cultivation medium, which can be a promising solution to the problem of lack of overproducing strains.

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